## Algorithms

## Coping with NP-HARDNESS

■ Strategies to deal with hard problems

- Algorithms for Special Cases

■ Fixed Parameter Tractability

- Intelligent Exhaustive Search
- Backtracking
- Branch and Bound

■ Dynamic Programming based pseudo polynomial algorithm TSP

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## Coping with NP-Hardness

Approaches to tackle hard problems
1 Special Cases: Relevant structure on which the problem is easy

- Exact results in poly-time only for special cases or a range of parameters

2 Intelligent Exhaustive Search: Exponential time in worst case

- The base and/or exponent are usually smaller
- could be efficient on typical more realistic instances
- Backtracking, Brand-and-Bound

3 Nearly exact solutions: Output is 'close' to exact (optimal) solution
■ Approximation Algorithms: Solutions of guaranteed quality in poly-time
■ Heuristic Algorithms: Solutions hopefully good in poly-time
4 Randomized Algorithms: Use coin flips for making decisions

- Typically used for approximation, also used for easy problems


## Fixed-Parameter Tractability

- The solution with approximation guarantees may be too expensive
- Parameterized complexity is a measure of complexity with more than 1 input parameters

■ We want algorithms with running time exponential in one parameter but polynomial in the other parameter(s)

- We would like algorithms with runtimes $2^{k} n^{2}, k!n \log n$, etc.
- Acceptable runtimes when in realistic instance $k$ is fixed (small)
- Such problems are called Fixed-Parameter Tractable

■ Algorithms are called Fixed-Parameter Tractability (FPT) algorithms

## Vertex Cover

An vertex cover in a graph is subset $C$ of vertices such that each edge has at least one endpoint in $C$


A graph on 11 vertices


A vertex cover of size 6


A vertex cover of size 5


A vertex cover of size 3

The vertex-Cover $(G, k)$ problem: Is there a cover of size $k$ in $G$ ?

- Focus on SRCH-VERTEX-COVER $(G, k)$


## Brute-Force Algorithm

■ For each possible $k$-subset $S$ of $V$, check if it is a vertex cover

- Is $S$ a vertex cover?
- Traverse adj-list of each $v \in S$, count edges in $S$ and in $[S, \bar{S}]$
- If this count $=|E(G)|$, then $S$ is a vertex cover

■ Runtime is $\left.O\binom{n}{k} k n\right)=O\left(k n^{k+1}\right) \quad \triangleright$ polynomial in $n$ for fixed $k$

- For larger $k$ and large $n$, this is impractical
- For $n=10000, k=20$, this runitme is $\sim 10^{82}$

■ We will design a FPT algorithm with runtime $2^{k} n k$

- For $n=10000, k=20$, it is $2^{20} \times 10000 \times 20 \ll 10^{82}$


## FPT for SRCH-VERTEX-COVER

- Take full advantage of $k$ being small
- Enumerate all possibilities for some $k$ edges
- Pick an edge ( $u, v$ )

■ For any $k$-cover $S$ (vertex cover of size $k$ ), either $u \in S$ or $v \in S$
■ For $x \in V, G-\{x\}:=(V \backslash\{x\}, E \backslash\{(a, b) \in E: a=x \vee b=x\})$

- $G-\{x\}$ : the graph after removing vertex $x$ and edges incident on $x$

For any edge $(u, v) \in E$,
$G$ has a $k$-cover if and only if $G-\{u\}$ or $G-\{v\}$ has a $k-1$-cover
$■ \Rightarrow$ : If $u \in S$, then $S \backslash\{u\}$ is a $(k-1)$-cover in $G-\{u\}$
$■ \Leftarrow$ A $(k-1)$-cover $S^{\prime}$ in $G-\{u\}$ covers all edges except those incident on $u . S^{\prime} \cup\{u\}$ is a $k$-cover in $G$

We use this theorem in an algorithm by recursively trying both possibilities

## FPT for SRCH-VERTEX-COVER

Algorithm Algorithm to find vertex cover of size $k$
function $\operatorname{VERTEX}-\operatorname{COVER}(G, k)$
if $k=0$ then
if $E(G)=\emptyset$ then $\quad \triangleright O(n)$ time to check if all adj. lists are empty return $\emptyset$
else

## return NF

else
$e=(u, v) \in E(G) \quad \triangleright$ Pick an arbitrary edge in $G$
$S_{u} \leftarrow \operatorname{VERTEX}-\operatorname{cover}(G-\{u\}, k-1)$
$S_{v} \leftarrow \operatorname{Vertex}-\operatorname{Cover}(G-\{v\}, k-1) \quad \triangleright O(n)$ time to make $G-\{x\}$
if $S_{u} \neq \mathbf{N F}$ then
return $S_{u} \cup\{u\}$
else if $S_{v} \neq$ NF then
return $S_{v} \cup\{v\}$
else
return NF

## FPT for SRCH-VERTEX-COVER

```
function VERTEX-COVER(G,k)
    if }k=0\mathrm{ then
        return \emptyset
        else
            return NF
    else
        Su}\leftarrow\operatorname{VERTEX-Cover}(G-{u},k-1
        Sv}\leftarrow\operatorname{VERTEX-COVER}(G-{v},k-1
        if }\mp@subsup{S}{u}{}\not=\textrm{NF}\mathrm{ then
        return }\mp@subsup{S}{u}{}\cup{u
        else if S
        return }\mp@subsup{S}{v}{}\cup{v
        else
            return NF
```

        if \(E(G)=\emptyset\) then \(\quad \triangleright O(n)\) time to check if all adj. lists are empty
        \(e=(u, v) \in E(G) \quad \triangleright\) Pick an arbitrary edge in \(G\)
    $T(n, k)$ : runtime of this algorithm on input $G$ and $k$

$$
T(n, k)= \begin{cases}O(n) & \text { if } k=0 \\ 2 T(n-1, k-1)+O(n) & \text { if } k>0\end{cases}
$$

## FPT for SRCH-VERTEX-COVER



- Recursion tree is a complete binary tree with height $k$
- $2^{k}$ leaves and $2^{k-1}$ internal nodes (recursive invocation) $T(n, k)=O\left(2^{k} n\right)$
- Runtime of each recursive invocation is at most $O(n)$

