

Randomized Computation

- Deterministic and (Las Vegas & Monte Carlo) Randomized Algorithms
- Probability Review
- Probabilistic Analysis of deterministic QUICK-SORT Algorithm
- RANDOMIZED-SELECT and RANDOMIZED-QUICK-SORT
- Max-Cut
- Min-Cut
- MAX-3-SAT and Derandomization
- Closest Pair
- Randomized Complexity Classes

IMDAD ULLAH KHAN

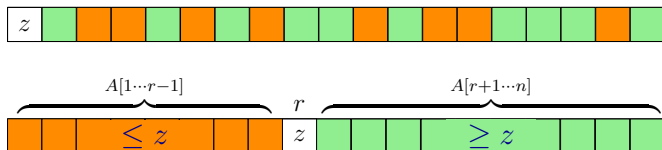
Algorithm Sorting A using PARTITION

```

function QUICKSORT( $A$ )
  if  $|A| \leq 1$  then
    return  $A$ 
   $z \leftarrow A[1]$ 
  PARTITION( $A, z$ )
   $r \leftarrow \text{RANK}(z, A)$ 
  QUICKSORT( $A[1 \dots r - 1]$ )
  QUICKSORT( $A[r + 1 \dots |A|]$ )
  
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function PARTITION( $A, z$ )
   $i \leftarrow 1$     $j \leftarrow |A|$ 
   $r \leftarrow \text{RANK}(A, z)$ 
  while  $i < j$  do
    while  $A[i] < z$ 
       $i \leftarrow i + 1$ 
    while  $A[j] > z$ 
       $j \leftarrow j - 1$ 
  if  $i \neq r$  AND  $j \neq r$  then
    SWAP( $A[i], A[j]$ )
  
```



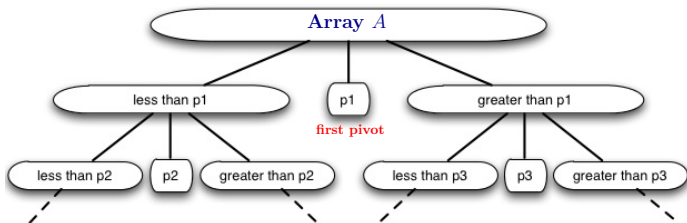
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QUICKSORT

Algorithm Sorting A using PARTITION

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```
function PARTITION( $A, z$ )
```

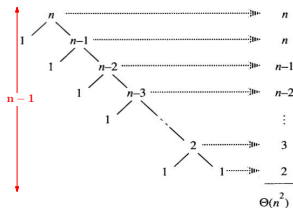
```
   $i \leftarrow 1$     $j \leftarrow |A|$ 
   $r \leftarrow \text{RANK}(A, z)$ 
  while  $i < j$  do
    while do  $A[i] < z$ 
       $i \leftarrow i + 1$ 
    while do  $A[j] > z$ 
       $j \leftarrow j - 1$ 
  if  $i \neq r$  AND  $j \neq r$  then
    SWAP( $A[i], A[j]$ )
```

$T(n)$: runtime of QUICKSORT on $|A| = n$

Worst case: pivot is always min or max of A

$$T(n) = \begin{cases} T(n-1) + T(0) + O(n) & \text{if } n > 1 \\ 1 & \text{if } n \leq 1 \end{cases}$$

$$T(n) = O(n^2)$$



Algorithm Sorting A using PARTITION

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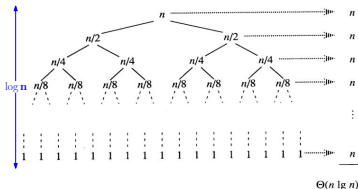
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  if  $i \neq r$  AND  $j \neq r$  then
    SWAP( $A[i], A[j]$ )
  
```

$T(n)$: runtime of QUICKSORT on $|A| = n$

Best case: pivot is always median of array

$$T(n) = \begin{cases} T(\frac{n}{2}) + T(\frac{n}{2}) + O(n) & \text{if } n > 1 \\ 1 & \text{if } n \leq 1 \end{cases}$$

$$T(n) = O(n \log n)$$



Probabilistic Analysis of QUICKSORT

What is the **average case** running time of QUICKSORT?

Average over what?

In **probabilistic analysis** we use probability in the analysis of a deterministic algorithm

We have or assume knowledge about the distribution of the input

The average is over the distribution

For QUICKSORT:

Assume all permutations of n numbers in A are equally likely

- ranks of numbers in A is a uniform random permutation of $[1 \cdots n]$

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       $j \leftarrow j - 1$   
  if  $i \neq r$  AND  $j \neq r$  then  
    SWAP( $A[i], A[j]$ )
```

An element of A can be chosen as pivot at most once

- All subsequent processing is done on the two subarrays

Algorithm Sorting A using PARTITION

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    while  $A[j] > z$  do  
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  if  $i \neq r$  AND  $j \neq r$  then  
    SWAP( $A[i], A[j]$ )
```

Elements of A are compared to pivots only

- No comparison in the outer function
- In PARTITION elements are compared only with z (the pivot)

Algorithm Sorting A using PARTITION

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function PARTITION( $A, z$ )  
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  while  $i < j$  do  
    while  $A[i] < z$  do  
       $i \leftarrow i + 1$   
    while  $A[j] > z$  do  
       $j \leftarrow j - 1$   
  if  $i \neq r$  AND  $j \neq r$  then  
    SWAP( $A[i], A[j]$ )
```

A pair of elements of A are compared only when one of them is a pivot

- Comparisons always involve pivot

Algorithm Sorting A using PARTITION

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       $j \leftarrow j - 1$   
  if  $i \neq r$  AND  $j \neq r$  then  
    SWAP( $A[i], A[j]$ )
```

A pair of elements of A are compared at most once

- After a comparison the two elements always go to different parts

Probabilistic Analysis of QUICKSORT

- Let the sorted order of elements of A be z_1, z_2, \dots, z_n
- Z_{ij} : elements between z_i and z_j (inclusive) $\triangleright |Z_{ij}| = j - i + 1$

$$X_{ij} = \begin{cases} 1 & \text{if } z_i \text{ is compared with } z_j \\ 0 & \text{else} \end{cases}$$

Comparison can be at anytime of the execution, not in a specific call

Total number of comparison (through execution of the algorithm) is

$$X = \sum_{i=1}^n \sum_{j=1}^n X_{ij}$$

sum over all possible pairs

$$E(X) = E\left[\sum_{i=1}^n \sum_{j=i+1}^n X_{ij}\right] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}]$$

Probabilistic Analysis of QUICKSORT

- Let the sorted order of elements of A be z_1, z_2, \dots, z_n
- Z_{ij} : elements between z_i and z_j (inclusive) $\triangleright |Z_{ij}| = j - i + 1$

$$E(X) = E\left[\sum_{i=1}^n \sum_{j=i+1}^n X_{ij}\right] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}]$$

Consider the sequence $Z_{ij} : z_i, z_{i+1}, \dots, \dots, z_j$

Initially they are all in the same array A

- They split only when some z_k for $i \leq k \leq j$ is pivot
- z_i and z_j are compared only if **they are in the same (sub) array and either z_i and z_j is pivot**
- If the first pivot in Z_{ij} is other than z_i and z_j , then Z_{ij} is split and z_i and z_j never get compared $\triangleright X_{ij} = 0$

Probabilistic Analysis of QUICKSORT

- Let the sorted order of elements of A be z_1, z_2, \dots, z_n
- Z_{ij} : elements between z_i and z_j (inclusive) $\triangleright |Z_{ij}| = j - i + 1$

$$E(X) = E\left[\sum_{i=1}^n \sum_{j=i+1}^n X_{ij}\right] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}]$$

Consider the sequence $Z_{ij} : z_i, z_{i+1}, \dots, \dots, z_j$

z_i and z_j are compared **iff** z_i or z_j is the first pivot among numbers in Z_{ij}

$$E[X_{ij}] = \Pr[z_i \text{ or } z_j \text{ is the first among } Z_{ij} \text{ chosen as pivot}]$$

z_i (or z_j) will be the pivot if it is the first one (among them) and

The probability that z_i is before all in Z_{ij} is $\frac{1}{j-i+1}$

Probabilistic Analysis of QUICKSORT

z_i and z_j are compared if and only if among all numbers in Z_{ij} , either z_i or z_j is the first pivot

$$E[X_{ij}] = \Pr[z_i \text{ or } z_j \text{ is the first among } Z_{ij} \text{ chosen as pivot}]$$

The probability that z_i is before all in Z_{ij} is $\frac{1}{j-i+1}$

$$\Pr[z_i \text{ or } z_j \text{ is the first among } Z_{ij} \text{ chosen as pivot}] = \frac{2}{j-i+1}$$

$$E(X) = E\left[\sum_{i=1}^n \sum_{j=i+1}^n X_{ij}\right] = \sum_{i=1}^n \sum_{j=i+1}^n E[X_{ij}] = \frac{2}{j-i+1}$$

Substitute $k = j - i$

$$E(X) = \sum_{i=1}^n \sum_{k=1}^{n-i} \frac{2}{k+1} = \frac{2}{k+1} < \sum_{i=1}^n \sum_{k=1}^n \frac{2}{k} \leq 2n \log n$$

Probabilistic Analysis of QUICKSORT

- Cannot guarantee randomly ordered input array
- Permute array to make it a random permutation
 - ▷ Generating a random permutation is an interesting exercise
- Worst case is less likely if pivot is the median of 3 or 4 elements
- Average/worst/best case is $O(n \log n)$ if pivot is always the median
- RANDOMIZED-QUICKSORT chooses a random pivot

```
function RAND-QUICKSORT(A)  
  if  $|A| \leq 1$  then  
    return A  
  randIndex  $\leftarrow$  RANDOM(1,  $|A|$ )  
  z  $\leftarrow$  A[randIndex]  
  PARTITION(A, z)  
  r  $\leftarrow$  RANK(z, A)  
  RAND-QUICKSORT(A[1...r - 1])  
  RAND-QUICKSORT(A[r + 1... $|A|$ ])
```

Analysis is exactly the same with Indicator random variables