

## Finite Automata

- Deterministic Finite Automata
- Languages decided by a DFA – Regular Languages
- Closure Properties of regular languages
- Non-Deterministic Finite Automata, DFA= NFA
- Regular Expression: Computation as Description
- DFA=NFA=RegExp, Generalized NFA
- Non-Regular Languages, The Pumping Lemma
- Minimizing DFA

IMDAD ULLAH KHAN

# Non-Regular Languages

## The Problems Solved by DFAs

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$L$  is regular if there exists a DFA  $M$ , such that  $L(M) = L$

Are all languages regular? No! Here are some non-regular languages

- BALANCED-STRINGS =  $\{w \mid w \text{ has an equal number of 0's and 1's}\}$
- $0^n 1^n$
- $c^{251} a^n b^{2n}$
- $a^{2^n} \subseteq \{a\}^*$  (unary language)

How to tell if a language is not regular?

▷ Pumping Lemma and Myhill-Nerod theorem

How to tell if a language is regular?

▷ Give FA or Regular Expression, and Myhill-Nerod theorem

BALANCED-STRINGS is not a regular language

Formal proof later!

Need to prove that there is no DFA recognizing it

Intuitively,

- DFA must remember the frequencies of 0's and 1's seen so far
  - ▷ it can also remember the difference in the two frequencies
- The two frequencies (or their difference) is unbounded, there can't be enough states to keep track of
- Here the finite states/or constant memory is used critically

## Pigeonhole Principle

If there are more pigeons



than there are pigeon holes,



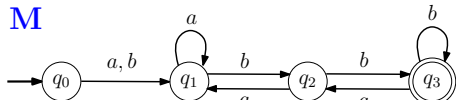
then some pigeon hole must have more than one pigeons



## DFA walk on a string

For a string  $w \in \Sigma^*$ , a **w-walk** or **walk on  $w$**  is the sequence of visited states when  $M$  is run on  $w$

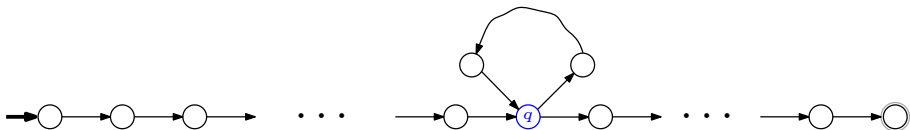
$M$



In walks on **a**  
**aa**  
**ab** no state is repeated  
**abb**  
**bbb**

In walks on **aba**  
**abba** at least one state is repeated  
**aaba**  
**abbb**  
**bbbab**

Generally, if string  $w$  has length  $\geq$  number of states in  $M$ , then some state  $q$  must be repeated in the walk on  $w$   $\triangleright$  pigeon-hole principle

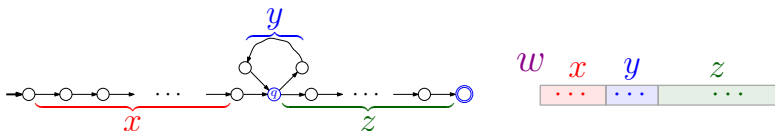


## Pumping Lemma for Regular Languages

$L$  : an infinite regular language,  $M$  : a DFA on  $m$  states recognizing  $L$

Let  $w$  be a string such that  $w \in L$  and  $|w| \geq m$

Let  $q$  be the first state repeated in the walk on  $w$



Let  $w = xyz$   $|y| \geq 1$   $|xy| \leq m$   $\triangleright \because q$  is the first state repeated

■  $xz \in L$   $\triangleright$  accepting walk is present

■  $xyz \in L$   $\triangleright w \in L$

■  $xyyz \in L$   $\triangleright$  by taking one more tour of the 'subwalk' for  $y$

■  $xyyyz \in L$   $\triangleright$  by taking three tours of the 'subwalk' for  $y$

■  $xy^i z \in L, i = 0, 1, 2, \dots,$   $\triangleright$  by taking  $i$  tours of the 'subwalk' for  $y$

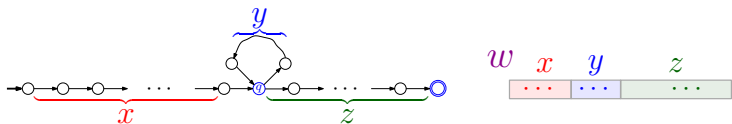
## Pumping Lemma for Regular Languages

### The Pumping Lemma

Let  $L$  be an infinite regular language.

There exists an integer  $m$  such that any string  $w \in L$ , with length  $|w| \geq m$  can be written as  $w = xyz$  with

- $|xy| \leq m$
- $|y| \geq 1$
- $xy^iz \in L$  for  $i = 0, 1, 2, \dots$



The  $y$  portion of the string  $w$  is pumped up



## DFA Unsolvable Problems: $L = \{0^n 1^n : n \geq 0\}$

The language  $L = \{0^n 1^n : n \geq 0\}$  is not regular

We use the pumping lemma to prove that  $L$  is not regular

**Proof:** Suppose  $L$  is a regular language.  $L$  is infinite

Let  $m$  be the integer guaranteed by the pumping lemma

Let  $w = 0^m 1^m \in L$ . By the pumping lemma  $w$  can be written as

$$w = 0^m 1^m = xyz = \underbrace{0 \dots 00}_{x} \underbrace{\dots 00}_{y} \underbrace{\dots 00 11 \dots}_{z} \underbrace{\dots 11}_{m}$$

such that  $|xy| \leq m$  and  $|y| \geq 1 \implies y = 0^k, 1 \leq k \leq m$

and  $xy^2z = 0^{m+k} 1^m \in L \quad \triangleright \text{A contradiction}$

$$xy^2z = \underbrace{0 \dots 00}_{x} \underbrace{\dots 00}_{y} \underbrace{0 \dots 00}_{y} \underbrace{\dots 00 11 \dots}_{z} \underbrace{\dots 11}_{m}$$

## DFA Unsolvable Problems: $L = \{vv^R : v \in \{a, b\}^*\}$

The language  $L = \{vv^R : v \in \{a, b\}^*\}$  is not regular

We use the pumping lemma to prove that  $L$  is not regular

**Proof:** Suppose  $L$  is a regular language.  $L$  is infinite

Let  $m$  be the integer guaranteed by the pumping lemma

Let  $w = a^m b^m b^m a^m \in L$ . By the pumping lemma  $w$  can be written as

$$w = xyz = \overbrace{a \dots a a \dots a a \dots ab \dots}^m \overbrace{\dots bb \dots}^m \overbrace{\dots ba \dots}^m \overbrace{\dots a}^m$$

$x$        $y$        $z$

such that  $|xy| \leq m$  and  $|y| \geq 1 \implies y = a^k, 1 \leq k \leq m$

and  $xy^2z = a^{m+k} b^m b^m a^m \in L \quad \triangleright \text{A contradiction}$

$$xy^2z = \overbrace{a \dots a a \dots a a \dots a a \dots ab \dots}^{m+k} \overbrace{\dots bb \dots}^m \overbrace{\dots ba \dots}^m \overbrace{\dots a}^m$$

$x$        $y$        $y$        $z$

## DFA Unsolvable Problems: $L = \{a^p b^q c^{p+q} : p, q \geq 0\}$

The language  $L = \{a^p b^q c^{p+q} : p, q \geq 0\}$  is not regular

We use the pumping lemma to prove that  $L$  is not regular

**Proof:** Suppose  $L$  is a regular language.  $L$  is infinite

Let  $m$  be the integer guaranteed by the pumping lemma

Let  $w = a^m b^m c^{2m} \in L$ . By the pumping lemma  $w$  can be written as

$$w = xyz = \underbrace{a \dots a}_{x} \underbrace{a \dots a}_{y} \underbrace{ab \dots}_{z} \dots bc \dots \underbrace{\dots cc \dots}_{2m} \dots c$$

such that  $|xy| \leq m$  and  $|y| \geq 1 \implies y = a^k, 1 \leq k \leq m$

and  $xy^0z = xz = a^{m-k} b^m c^{2m} \in L \quad \triangleright \text{A contradiction}$

$$xy^0z = \underbrace{a \dots a}_{x} \underbrace{a \dots ab \dots}_{m} \dots bc \dots \underbrace{\dots cc \dots}_{2m} \dots c$$

## DFA Unsolvable Problems: $L = \{a^{n!} : n \geq 0\}$

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The language  $L = \{a^{n!} : n \geq 0\}$  is not regular

We use the pumping lemma to prove that  $L$  is not regular

**Proof:** Suppose  $L$  is a regular language.  $L$  is infinite

Let  $m$  be the integer guaranteed by the pumping lemma

Let  $w = a^{m!} \in L$ . By the pumping lemma  $w$  can be written as  $w = xyz$

such that  $|xy| \leq m$  and  $|y| \geq 1 \implies y = a^k$ ,  $1 \leq k \leq m$

and  $xy^2z = a^{m+k+m!-m} = a^{m!+k} \in L \quad \triangleright \text{A contradiction}$

Is  $m! + k = p!$  for any integer  $k$ ?

$m! < m! + k$       and  $m! + k < (m+1)!$       for  $k \leq m$