

Prerequisite and Review

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Prerequisites

You must have “passed” Discrete Mathematics and Algorithms

The course is fast-paced and assume experience with mathematical reasoning and algorithmic thinking

You should be comfortable with

- Propositional Logic
- Predicates and Quantifiers
- Set Theory and Countability
- Functions and Cross Product
- Relations, Equivalence and Partial Order
- Proofs
- Induction
- Algorithm Analysis
- Asymptotic Notation
- Graph Algorithms
- Divide and Conquer Algorithm
- Recursion and Recurrences
- Dynamic Programming
- Complexity and NP-Completeness

Proposition

A statement is a description of something

A proposition is a statement that is either **true** or **false** and not both and not neither

- We can make (compound) propositions from others
- Negation a proposition
- Proposition made by combining two propositions with AND, OR, XOR, IF-THEN, IFF
- $P \rightarrow Q$ is false when P is true and Q is false
- The **converse** of $P \rightarrow Q$ is $Q \rightarrow P$
- The **contrapositive** of $P \rightarrow Q$ is $\neg Q \rightarrow \neg P$
- The **inverse** of $P \rightarrow Q$ is $\neg P \rightarrow \neg Q$

Quantified Expression: Summary

- A predicate is a property that is true or false about the subject(s)
- $P(x)$ is the value of propositional function P at x
- $P(x)$ becomes proposition when specific value are assigned to x
- Quantifiers make it proposition for a range of values
- **Universal Quantifier:** \forall
 - $\forall x P(x) := P(x)$ (is true) for **all** values of x in the UoD

Proposition $\forall x P(x)$ is **True** iff for every x in UoD, $P(x)$ is **True**

- **Existential Quantifier:** \exists
 - $\exists x P(x) := P(x)$ (is true) for **some** value(s) of x in the UoD

Proposition $\exists x P(x)$ is **True** iff for at least one x in UoD, $P(x)$ is **True**

Truth Values of Nested Quantified Expressions

<i>Statement</i>	<i>When True?</i>	<i>When False?</i>
$\forall x \forall y P(x, y)$ $\forall y \forall x P(x, y)$	$P(x, y)$ is true for every pair x, y	There is a pair x, y for which $P(x, y)$ is false
$\forall x \exists y P(x, y)$	For every x , there is a y for which $P(x, y)$ is true	There is an x such that $P(x, y)$ is false for every y
$\exists x \forall y P(x, y)$	There is an x for which $P(x, y)$ is true for every y	For every x there is a y for which $P(x, y)$ is false
$\exists x \exists y P(x, y)$ $\exists y \exists x P(x, y)$	There is a pair x, y for which $P(x, y)$ is true	$P(x, y)$ is false for every pair x, y

Negating Nested Quantified Expressions

Recall

$$\neg \forall x P(x) \equiv \exists x \neg P(x)$$

$$\neg \exists x P(x) \equiv \forall x \neg P(x)$$

Negate nested quantified statements using iterative applications of negating (singly) quantified statements

$$\neg \forall x \exists y P(x, y) \equiv \exists x \neg \exists y P(x, y) \equiv \exists x \forall y \neg P(x, y)$$

$$\neg \exists x \forall y P(x, y) \equiv \forall x \neg \forall y P(x, y) \equiv \forall x \exists y \neg P(x, y)$$

$$\neg \forall x \forall y P(x, y) \equiv \exists x \neg \forall y P(x, y) \equiv \exists x \exists y \neg P(x, y)$$

$$\neg \exists x \exists y P(x, y) \equiv \forall x \neg \exists y P(x, y) \equiv \forall x \forall y \neg P(x, y)$$

Sets Summary

- A set is an ordered collection of objects
- Order and repetition of objects do not matter
- Sets can be described in various ways
- Empty set is a well-defined set with zero objects
- Two sets are equal if and only if they have the same elements
- \bar{A} is the collection of all objects in universal set that are not in A
- Cardinality of A is the number of distinct elements in A

Subsets: Summary

- A is a subset of B if and only if every element of A is an element of B
- $A \subseteq B$, A is subset of B , B is superset of A
- Empty set is a subset of every set
- Every set is a subset of itself
- Power Set of A is the set of all subsets of A
- Cardinality of power set of A with $|A| = n$ is 2^n

- Set Operation (Binary)
 - Union
 - Intersection
 - Difference
 - Symmetric Difference
- Generalized Union
- Generalized Intersection

Set Equality

- Equality of two sets can be proved using
 - Algebraic Rules (Set Identities)
 - Set Membership Tables
 - Logical Equivalence of membership predicates
 - By proving bidirectional subset relationships

Ordered Tuples and Cartesian Product: Summary

- Ordered n -tuple (a_1, a_2, \dots, a_n) is an ordered collection of n objects
- $(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n)$ means $a_i = b_i$ for $1 \leq i \leq n$
- Ordered 2-tuples ($n = 2$) are called ordered pairs
- Cartesian product of sets A and B , $A \times B$ is the set of all ordered pairs (x, y) , where $x \in A$ and $y \in B$
- Cartesian product can be generalized to that of more than 2 sets
- $|A_1 \times A_2 \times \dots \times A_n| = |A_1| \times |A_2| \times \dots \times |A_n|$

Functions: Summary

- $f : X \mapsto Y$ maps **each** element of X to **exactly one** element of Y

Let $f : X \mapsto Y$ and let $f(x) = y$

- X is the domain of f
- Y is the codomain of f
- y is the image of x
- x is the pre-image of y
- **Range of f** : set of images of all elements of X

- Functions can be represented by
 - Listing set of all (pre-image, image) ordered pairs
 - Bipartite Graph
 - Mapping Rule or Algebraic Expression
 - Programming Code

Types of functions: Summary

A function $f : X \mapsto Y$ is **one-to-one (or injective)** iff

$$\forall x_1, x_2 \in X (f(x_1) = f(x_2) \rightarrow x_1 = x_2)$$

A function $f : X \mapsto Y$ is **onto (or surjective)** iff

for every element $y \in Y$ there is an element $x \in X$ with $f(x) = y$

A function $f : X \mapsto Y$ is **one-to-one correspondence (or bijective)** iff

it is **both one-to-one** and **onto**

If $f : X \mapsto Y$ is a bijection and X and Y are finite sets, then $|X| = |Y|$

Relations: Summary

- A (binary) relation from X to Y is a subset of $X \times Y$
- A (binary) relation on a set X is a subset of $X \times X$ (relation from X to X)
- An n -ary relation is a subset of $A_1 \times A_2 \times \dots \times A_n$
- A binary relation can be represented by listing the ordered pairs, using a bipartite graph, or with a binary matrix

Properties of Relations: Summary

- A relation R on a set X is **reflexive** if $(a, a) \in R$ for every element $a \in X$
- A relation R on a set X is **symmetric** if $(b, a) \in R$ whenever $(a, b) \in R$ for all $a, b \in X$
- A relation R on a set X is **antisymmetric** if $a = b$ whenever $(a, b) \in R$ and $(b, a) \in R$
 - ▷ A relation can be symmetric, antisymmetric, both or none
- A relation R on a set X is transitive if whenever $(a, b) \in R$ and $(b, c) \in R$ then $(a, c) \in R$

Equivalence Relation

Equivalence Relation

A relation R on a set X is an **equivalence relation** if it is

- ① reflexive
- ② symmetric, and
- ③ transitive

- Relates “similar” elements
- Generalize “equality”

Partial Order

A relation R on a set X is a **partial order** if it is

- ① reflexive,
- ② antisymmetric, and
- ③ transitive

Partial orders give an order to sets that may not have a natural one.

For example pre-requisite order to courses

Notation: $a \preceq b \leftrightarrow (a, b) \in R$ and $a \prec b \leftrightarrow (a, b) \in R, a \neq b$

Pronounced as a **precedes** b

Do not confuse \preceq with \leq \preceq denotes partial ordering

Proofs

An argument that convincingly demonstrates the truth of a statement

In mathematics,

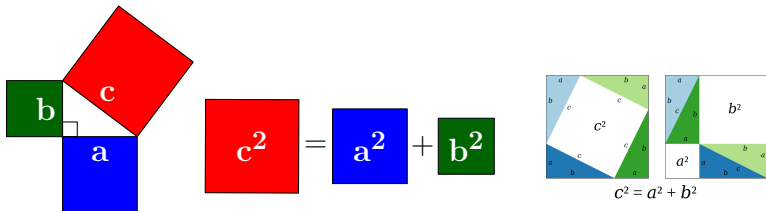
A proof is a chain of **logical deductions** that demonstrates the truth of a **proposition** assuming the truth of some known **axioms**

- **Axiom:** A basic assumption about mathematical structure that is accepted to be true. e.g.
 - *There is a straight line between any two points*
 - $2 > 1$
- **Theorem:** Important proposition that has a proof
- **Lemma:** Proposition that serves as an intermediate step in proof of a theorem
- **Corollary:** Proposition that follows directly (easily) from a theorem
 - Essentially a special case of the general statement of the theorem
- **Rules of Inference:** The justification for the steps in the chain of deductions in a proof
- **Fallacy:** An incorrect reasoning or deduction

Proving Statements

Pythagoras's Theorem (~ 500 BC)

$a^2 + b^2 = c^2$ has solutions where a , b , and c are positive integers



This statement is **TRUE**,

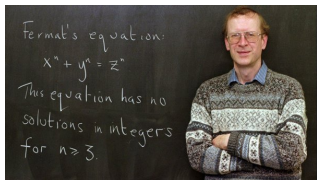
e.g. $a = 3$, $b = 4$, and $c = 5$

Proving Statements

Fermat's Last Theorem (1637)

$a^3 + b^3 = c^3$ has no solution where a, b, c are positive integers

Andrew Wiles (1994) proved this statement to be **TRUE**



- Wiles announced "proof" on 23 June 1993
- In September 1993, error was found in the proof
- On 19 September 1994, Wiles corrected the proof
- The corrected proof was published in 1995

Proving Statements

Euler Conjecture (1769)

$a^4 + b^4 + c^4 = d^4$ has no solutions where a, b, c, d are positive integers

Noam Elkies (1987) proved this statement **FALSE**

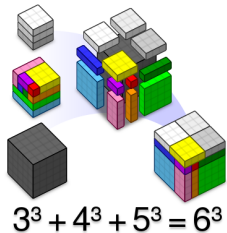
$$a = 2682440,$$

$$b = 15365639,$$

$$c = 18796760,$$

$$d = 20615673,$$

is a solution



source: Wikipedia

Proving Statements

Goldbach Conjecture (1742)

Every even integer > 2 is the sum of two primes



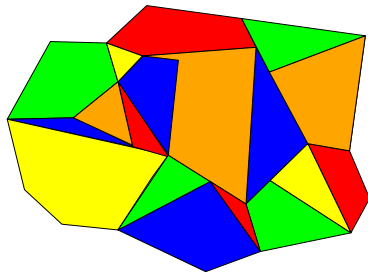
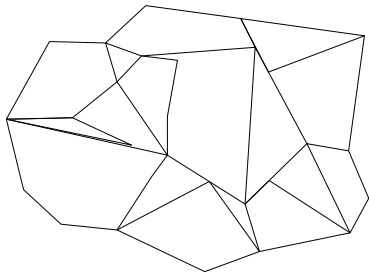
Sum of two primes at intersection of two lines. (source: Wikipedia)

- No one yet knows the truth value of this statement
- Every even integer ever checked is a sum of two primes
- Just one counter-example will disprove the claim
- **Homework!**

Proving Statements

Conjecture (1852)

Regions of any 2-d map can be colored with 4 colors so that no neighboring regions have the same color



4-Coloring Theorem

- Kempe (1879) announced a proof
- Tait (1880) announced an alternative proof
- Heawood (1890) found a flaw in Kempe's proof
- Petersen (1881) found a flaw in Tait's proof
- Heesch (1969) reduced the statement to checking a large number of cases
- Appel & Haken (1976) gave a "proof", that involved a computer program to check 1936 cases (1200 hours of computer time)
- Robertson et.al. (1997) gave another simpler "proof" but still involved computer program



UIUC stamp in honor of the 4-Color theorem

- No human can check all the cases
- What if the program has a bug
- What if the compiler/system hardware has a bug

Direct Proofs

Direct Proof: used to prove statement of the form $P \rightarrow Q$

- 1 Assume that P is true
- 2 With a chain of logical deductions conclude that Q is true

P	Q	$P \rightarrow Q$
T	T	T
T	F	F
F	T	T
F	F	T

When P is false, $P \rightarrow Q$ is already true irrespective of value of Q

The only case when $P \rightarrow Q$ is false, is when $P = T$ and $Q = F$

Hence our goal is to rule out that possibility

Proof by Contrapositive

Recall that an implication is equivalent to its contrapositive

$$P \rightarrow Q \equiv \neg Q \rightarrow \neg P$$

Direct Proof to show $P \rightarrow Q$

- Assume P is true, logically deduce that Q is also true

Proof by Contrapositive to show $P \rightarrow Q$

- apply the direct proof method to its contrapositive ($\neg Q \rightarrow \neg P$)

Just a restatement of the given statement rather than a proof method

Proof by Contradiction

Suppose we want to prove some statement P to be true

In proof by contradiction we argue that

if P is not true, then some contradiction must occur

- 1 Assume that P is false
- 2 Show that from this ($\neg P$) we can logically deduce some contradiction

The contradiction can be to

- the assumption $\neg P$
 - implying both P and $\neg P$ are simultaneously true, a contradiction
- or to some known true statement S
 - implying S is false, meaning both S and $\neg S$ are simultaneously true

Function: List Representation

Let X and Y be two sets. A function f maps **each** element of X to **exactly one** element of Y

Let X be the domain with its elements ordered x_1, x_2, \dots ,

$f : X \mapsto Y$ can be represented as a list $f(x_1), f(x_2), f(x_3), \dots$

- Images of x_1, x_2, \dots listed in the order of X

Properties of functions as lists

Let $f : X \mapsto Y$ be represented as list

$f : X \mapsto Y$ is **one-to-one** if every $y \in Y$ appears at most once in the list

$f : X \mapsto Y$ is **onto** if every $y \in Y$ appears at least once in the list

$f : X \mapsto Y$ is **bijection** if every $y \in Y$ appears exactly once in the list

If $f : X \mapsto Y$ is a bijection and X and Y are finite sets, then $|X| = |Y|$

For finite sets X and Y , $|X| = |Y|$ iff there is a bijection $f : X \mapsto Y$

Cardinality of infinite sets

We showed that

- $|\text{integer powers of 2 and other integers}| = |\mathbb{N}|$
- $|\text{powers of all integers}| = |\mathbb{N}|$
- $|\mathbb{Z}| = |\mathbb{N}|$

“size/2 = size” . Surprised!

I see it, but I don't believe it!

George Cantor (in a letter to Dedekind, 1877)

This notion of cardinality enables us to reason about infinity

Countability

A set S is countable if it is either finite or has the same cardinality as \mathbb{N}

S is countable if it can be placed in a **one-to-one correspondence** with \mathbb{N}

S is countable in the following sense

If we count (write, print, list) one element of S per 'second', then any particular element of S will be counted after a finite time

This means we can list element of S like

$$a_1, a_2, a_3, a_4, a_5, \dots$$

Note: We do not say that the whole set will be printed

A set S is **countable** if it is either finite or has the same cardinality as \mathbb{N}

The following sets are countable

- \mathbb{Z}
- \mathbb{O} and \mathbb{E} , odd and even integers
- Integer powers of 2
- Integer powers of other integers
- Squares, cubes and any power of integers
- \mathbb{Q}^+ , the set of +ve rational numbers

Are all infinite sets of the same size (countable)?

No

Cantor invented a very important technique,

DIAGONALIZATION

to show how to find bigger infinity

The set \mathbb{R} of real numbers between 0 and 1 is not countable

Proof by Induction

A proposition about non-negative integers, $\forall n P(n)$ is a sequence of propositions (dominoes)

$$P(0), P(1), P(2), \dots, P(n), P(n+1), \dots$$

Establish two facts

- Prove $P(0)$
the first domino falls
- Prove $\forall k \geq 0, P(k) \rightarrow P(k+1)$
if a domino falls, then the next domino also falls



Conclude that $P(n)$ is true for all n
all dominoes fall

Principle of Mathematical Induction

$$[P(0) \wedge \forall k \geq 0 [P(k) \rightarrow P(k+1)]] \longrightarrow \forall n \geq 0 P(n)$$

Strong Induction

Principle of Mathematical Induction

$$[P(0) \wedge \forall k \geq 0 [P(k) \rightarrow P(k+1)]] \longrightarrow \forall n \geq 0 P(n)$$

Proof using Induction

- **Basis Step:** Prove $P(0)$ is true
- **IH:** Assume $P(n)$
- **Inductive Step:** Using $P(n)$, prove $P(n+1)$

Principle of Strong Mathematical Induction

$$[P(0) \wedge \forall k \geq 0 [\forall 0 \leq i \leq k P(i) \rightarrow P(k+1)]] \longrightarrow \forall n \geq 0 P(n)$$

Proof using Strong Induction

- **Basis Step:** Prove $P(0)$ is true
- **IH:** Assume $P(k)$ is true for all $1 \leq k \leq n$
- **IS:** Using $\forall k \leq n P(k)$, prove $P(n+1)$

How to write proofs

Do not worry about your difficulties in Mathematics. I can assure you mine are still greater.

Albert Einstein

I don't have any magical ability...I look at the problem, and it looks like one I've already done. When nothing's working out, then I think of a small trick that makes it a little better. I play with the problem, and after a while, I figure out what's going on.

Terry Tao

Understand the problem

- List what is given to you
- Write down what you need to derive
- Unpack definitions

Figure out some meaningful special cases

- $n = 1, n = 0,$
- empty set
- Boundary cases, extreme cases, easy case
- Put yourself in the mind of the adversary, worst-case examples/scenarios?

Simplify the problem

- Develop good notation, Rephrase the problem
- Focus on simple version/cases at first
- Use paper, draw pictures, Draw picture

Try Different Techniques

- Direct, Contrapositive, Contradiction, Case Analysis, Induction
- Focus on simple version/cases at first
- Use paper, draw pictures, make tables