

## Introduction to Computation

- What is Computation
- Paper-Pencil Arithmetic and Compass-Ruler Geometry
- Why Study Theory of Computation – The Computational Lens
- Formalizing Computation
- Computability
- Complexity
- Cryptography

IMDAD ULLAH KHAN

## compute verb



com·pute kəm-'pyüt ◀▶

**computed; computing**

*transitive verb*

: to determine especially by mathematical means

| *Compute* the area of the triangle.

### Notice no mention of a device

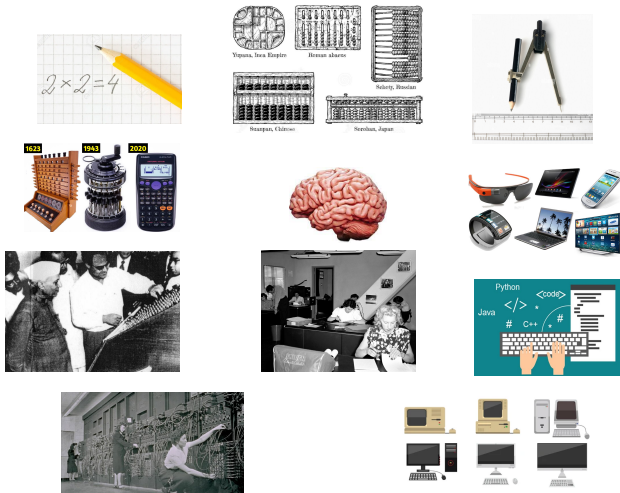
Computer science is no more about computers than astronomy is about telescopes

---

Edsger Dijkstra

# What is Computation?

Computation: Processing information by applying a finite set of rules



# Paper + Pencil Arithmetic

$$\begin{array}{r} \phantom{+} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{+} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{+} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{+} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{+} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \hline 9 \ 8 \ 6 \ 5 \ 0 \ 1 \ 9 \end{array}$$

$$\begin{array}{r} \phantom{\times} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{\times} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{\times} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{\times} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \phantom{\times} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \phantom{0} \\ \hline 4 \ 7 \ 9 \ 0 \ 5 \ 6 \end{array}$$

Single Digit Multiplication Lookup Table

<b>X</b>	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9
2	2	4	6	8	10	12	14	16	18
3	3	6	9	12	15	18	21	24	27
4	4	8	12	16	20	24	28	32	36
5	5	10	15	20	25	30	35	40	45
6	6	12	18	24	30	36	42	48	54
7	7	14	21	28	35	42	49	56	63
8	8	16	24	32	40	48	56	64	72
9	9	18	27	36	45	54	63	72	81

Rules to process the information implicitly give tools and power available

# What is Computation?

---

Computation: Processing information by applying a finite set of rules



Description of Processing is called **Algorithm** that converts the input to the desired output

Different set of rules/operations lead to different **computational capabilities and limits**

Information needs to be **encoded** to be input for application of rules/operations

# Why Study Theory of Computation?

---

## 1 To learn new ways of thinking about computing

We learn general ideas that can be applied to many models of computation expressed abstractly and precisely

**Abstractly:** independent of technology, applies both to present and future  
▷ Suppress inessential implementation level details

**Precisely:** means can formally prove

**Positive Results:** What is computable, correctness of algorithms/systems

**Negative Results:** What is not computable/not computable in fixed resources

# Why Study Theory of Computation?

---

- 1 To learn new ways of thinking about computing

We learn general ideas that can be applied to many models of computation expressed abstractly and precisely

What can (not) be computed?

▷ Computability

What can (not) be computed using a fixed resources?

▷ Complexity

Can we say Problem  $X$  is “harder” than Problem  $Y$ ?

Is there a single computer that can simulate every other computer?

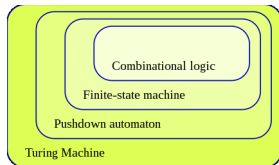
▷ Universal Computer

# Why Study Theory of Computation?

---

- 1 To learn new ways of thinking about computing
- 2 To formalizes different models of computational devices

- Finite Automata
- Pushdown Automata
- Stream Computer
- Turing Machines
- Quantum Computer
- Parallel Computer
- Distributed Computers





## Understanding Science Through the Computational Lens

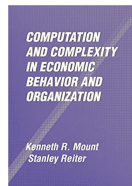
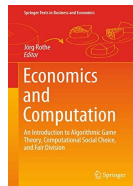
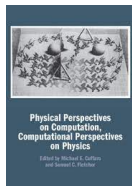
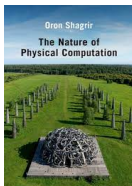
Richard M. Karp

Department of Electrical Engineering and Computer Sciences, University of California, Berkeley, CA 94720-1776, U.S.A.

E-mail: karp@cs.berkeley.edu

Received December 18, 2008; revised April 29, 2011.

**Abstract** This article explores the changing nature of the interaction between computer science and the natural and social sciences. After briefly tracing the history of scientific computation, the article presents the concept of *computational lens*, a metaphor for a new relationship that is emerging between the world of computation and the world of the sciences. Our main thesis is that, in many scientific fields, the processes being studied can be viewed as *computational* in nature, in the sense that the processes perform dynamic transformations on information represented as digital data. Viewing natural or engineered systems through the lens of their computational requirements or capabilities provides new insights and ways of thinking. A number of examples are discussed in support of this thesis. The examples are from various fields, including quantum computing, statistical physics, the World Wide Web and the Internet, mathematics, and computational molecular biology.



# Formalizing Computation

---

We have been computing for thousands of years

**Input:** Two  $n$  digits arrays  $A$  and  $B$

**Output:** (integer)  $C = A \times B$

---

**Algorithm** Integer Multiplication

---

$C \leftarrow 0$

**for**  $i = 1$  to  $n$  **do**

**for**  $j = 1$  to  $n$  **do**

$C \leftarrow C + 10^{i+j} A[i] * B[j]$

---

**Input:** Two integers  $a$  and  $b$

**Output:** (integer)  $C = \text{GCD}(a, b)$

---

**Algorithm** GCD Computation

---

**function**  $\text{GCD}(a, b)$

**if**  $b = 0$  **then**

**return**  $a$

**else**

$r \leftarrow a \% b$

**return**  $\text{GCD}(b, r)$

---

But computation was formalized only recently

# Formalizing Computation

## Hilbert's 10th problem (1900)

Devise a **finite procedure** to check if a diophantine has integral solution

diophantine equation (e.g. multivariate polynomial) with integer coefficients

e.g.  $ax + by = c, \quad aw^4 + bx^4 + cy^4 + dz^4 = 0 \quad a, b, c, d \in \mathbb{Z}$

## Entscheidungsproblem [Hilbert and Ackermann (1928)]

Devise a **finite procedure** to determine the validity of a logical expression

$$\neg \exists x, y, z \in \mathbb{Z} : (x^n + y^n = z^n) \wedge (n \geq 3)$$

Can Mathematics be mechanized?

▷ automatic theorem proving

# Formalizing Computation

Alonzo Church (1935/1936)

Lambda Calculus is a reasonable notion of finite procedure “=algorithm”

AN UNSOLVABLE PROBLEM OF ELEMENTARY NUMBER  
THEORY.<sup>1</sup>

By ALONZO CHURCH.

---

Alan Turing (1936)

Turing Machine is a reasonable notion of finite procedure “=algorithm”

ON COMPUTABLE NUMBERS, WITH AN APPLICATION TO  
THE ENTSCHIEDUNGSPROBLEM

By A. M. TURING.

Alan Turing (1937)

Turing Machine = Lambda Calculus

# Formalizing Computation

## Church-Turing Thesis

Any computational problem that can be solved by a physical device, can be solved by a Turing Machine

“Computable” = “Computable by a Turing Machine”



# Formalizing Computation

---

Hilbert's 10th problem (1900)

Devise a **finite procedure** to check if a diophantine has integral solution

Matiyasevich-Robinson-Davis-Putnam (1970)

There is no algorithm to solve this problem

Entscheidungsproblem [Hilbert and Ackermann (1928)]

Devise a **finite procedure** to determine the validity of a logical expression

Turing (1936)

There is no algorithm to solve this problem

# Two main questions in theoretical computer science

Is there an algorithm to solve a problem

▷ Computability

Just saw two examples of negative answers

Is there an **efficient** algorithm to solve a problem

▷ Complexity

Efficiency is measured by requirements of runtime, memory, number messages passed, random bits, quantum resources, energy, ....

Camp-1: Algorithm Designers



Coming up with efficient algorithms

Camp-2: Complexity Theorists



Proving no efficient algorithm exists

# Two main questions in theoretical computer science

Is there an algorithm to solve a problem

▷ Computability

Just saw two examples of negative answers

Is there an **efficient** algorithm to solve a problem

▷ Complexity

Efficiency is measured by requirements of runtime, memory, number messages passed, random bits, quantum resources, energy, ....

Camp-1: Algorithm Designers



Coming up with efficient algorithms

Camp-3: Cryptographer (e.g.)



Using Camp-2 results to solve Camp-1 Problems

Camp-2: Complexity Theorists



Proving no efficient algorithm exists



## The Halting Problem

**Input:** A computer program `A.CPP`

**Output:** **Yes** if `A.CPP` halts on every legal input, else **No**

Compilers and interpreters take programs as input and “analyze” them

This problem has many applications

# Computability: Halting Problem

---

## How to check if a program halts

---

**Algorithm** Clearly halts

---

```
return true
```

---

---

**Algorithm** Halts if  $n \geq 0$  and even

---

```
while  $n \neq 0$  do  
   $n \leftarrow n - 2$ 
```

---

---

**Algorithm** Never halts

---

```
 $n \leftarrow 1$   
while  $n \neq 0$  do  
   $n \leftarrow n + 1$ 
```

---

# Computability: Halting Problem

---

**Algorithm** Collatz Program ( integer  $n$  )

---

```
while  $n \neq 1$  do
  if  $n$  is even then
     $n \leftarrow n/2$ 
  else
     $n \leftarrow 3n + 1$ 
```

---

$n = 3 \implies 3, 10, 5, 16, 8, 4, 2, 1$

$n = 4 \implies 4, 2, 1$

$n = 5 \implies 5, 16, 8, 4, 2, 1$

$n = 6 \implies 6, 3, 10, 5, 16, 8, 4, 2, 1$

$n = 7 \implies 7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 15, 8, 4, 2, 1$

$n = 8 \implies 8, 4, 2, 1$

$n = 9 \implies 9, 28, 14, 7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 15, 8, 4, 2, 1$

**27**, 82, 41, 124, 62, 31, 94, 47, 142, 71, 214, 107, 322, 161, 484, 242, 121, 364, 182, 91, 274, 137, 412, 206, 103, 310, 155, 466, 233, 700, 350, 175, 526, 263, 790, 395, 1186, 593, 1780, 890, 445, 1336, 668, 334, 167, 502, 251, 754, 377, 1132, 566, 283, 850, 425, 1276, 638, 319, 958, 479, 1438, 719, 2158, 1079, 3238, 1619, 4858, 2429, 7288, 3644, 1822, 911, 2734, 1367, 4102, 2051, 6154, 3077, 9232, 4616, 2308, 1154, 577, 1732, 866, 433, 1300, 650, 325, 976, 488, 244, 122, 61, 184, 92, 46, 23, 70, 35, 106, 53, 160, 80, 40, 20, 10, 5, 16, 8, 4, 2, 1

# Computability: Halting Problem

---

**Algorithm** Collatz Program ( integer  $n$ )

---

```
while  $n \neq 1$  do
  if  $n$  is even then
     $n \leftarrow n/2$ 
  else
     $n \leftarrow 3n + 1$ 
```

---

## Collatz Conjecture (1937)

For every integer  $n$  this program eventually reaches 1 (thus halts)

aka wondrous numbers,  $3n + 1$  conjecture, Syracuse problem, Ulam conjecture

For about a month everyone at Yale worked on it, with no result. A similar phenomenon happened when I mentioned it at the University of Chicago. A joke was made that this problem was part of a conspiracy to slow down mathematical research in the U.S.

Shizuo Kakutani, 1960

Mathematics is not yet ripe enough for such questions.

Paul Erdős, 1983

# Computability: Halting Problem

## Fermat's Last Theorem (1637)

For  $n \geq 3$ ,  $a^n + b^n = c^n$  has no solution where  $a, b, c$  are positive integers

---

**Algorithm** NEGFLT( integer  $n$ )

---

```
flag  $\leftarrow$  true
a  $\leftarrow$  1
while flag = true do
  for  $b = 1 \rightarrow a$  do
    for  $c = 2 \rightarrow a + b$  do
      if  $a^n + b^n = c^n$  then
        flag  $\leftarrow$  false
  a  $\leftarrow$  a + 1
```

---

$\text{HALT}(\text{NEGFLT}(n)) = \text{Yes} \iff$  Fermat's last theorem is false

Ok! we know FLT is true, how about some other

# Computability: Halting Problem

## Goldbach Conjecture (1742)

Every even integer  $n > 2$  is the sum of two primes.

---

**Algorithm** `NEGGOLDBACH`( even integer  $n$ )

---

$flag \leftarrow \mathbf{true}$

$n \leftarrow 2$

**while**  $flag = \mathbf{true}$  **do**

$flag \leftarrow \mathbf{false}$

$n \leftarrow n + 2$

**for**  $p = 2 \rightarrow n$  **do**

**if** `ISPRIME`( $p$ ) AND `ISPRIME`( $n - p$ ) **then**

$flag \leftarrow \mathbf{true}$

**break**

---

$\mathbf{HALT}(\mathbf{NEGGOLDBACH}(n)) = \mathbf{Yes} \iff$  Goldbach conjecture is false

An algorithm for  $\mathbf{HALT}(\cdot)$  would resolve the Goldbach conjecture

# Complexity

Multiplying two  $n$  digits integers



---

**Algorithm** Repeated Addition

---

$C \leftarrow 1$

**for**  $i = 1$  to  $B$  **do**

$C \leftarrow C + A$

**return**  $C$

---

$$A * B = \underbrace{A + A + \dots + A}_{B \text{ times}}$$

Each addition takes  $O(n)$  single digit addition, number of addition is  $B$

Total runtime is  $O(n10^n)$

▷ ∵  $B$  could be  $10^n$

Multiplying two  $n$  digits integers



---

## Algorithm Long Multiplication

---

```
for  $i = 1$  to  $n$  do
   $c \leftarrow 0$ 
  for  $j = 1$  to  $n$  do
     $Z[i][j + i - 1] \leftarrow (A[j] * B[i] + c) \bmod 10$ 
     $c \leftarrow (A[j] * B[i] + c) / 10$ 
   $Z[i][i + n] \leftarrow c$ 
carry  $\leftarrow 0$ 
for  $i = 1$  to  $2n$  do
  sum  $\leftarrow$  carry
  for  $j = 1$  to  $n$  do
    sum  $\leftarrow$  sum +  $Z[j][i]$ 
   $C[i] \leftarrow$  sum mod 10
  carry  $\leftarrow$  sum / 10
 $C[2n + 1] \leftarrow$  carry
```

$$\begin{array}{r} \times \quad 7 \ 5 \ 8 \\ \quad 6 \ 3 \ 2 \\ \hline \quad 1 \ 5 \ 1 \ 6 \\ 2 \ 2 \ 7 \ 4 \\ 4 \ 5 \ 4 \ 8 \\ \hline 4 \ 7 \ 9 \ 0 \ 5 \ 6 \end{array}$$

Total single digit  
arithmetic ops:  $O(n^2)$



# Complexity

Multiplying two  $n$  digits integers



$$AB = (10^n w + x)(10^n y + z) = 10^{2n} \underbrace{(wy)}_{1 \text{ multiplication}} + 10^n \underbrace{(wz + xy)}_{2 \text{ multiplications}} + \underbrace{xz}_{1 \text{ multiplication}}$$

---

**Algorithm** Recursive Multiplication

---

**function** REC-MULTIPLY( $A, B, 2n$ )  $\triangleright n = 2^k$

**if**  $n = 1$  **then return**  $A * B$

**else**

$A = 10^n w + x, B = 10^n y + z$

$wy \leftarrow$  REC-MULTIPLY( $w, y, n$ )

$wz \leftarrow$  REC-MULTIPLY( $w, z, n$ )

$xy \leftarrow$  REC-MULTIPLY( $x, y, n$ )

$xz \leftarrow$  REC-MULTIPLY( $x, z, n$ )

**return**  $10^{2n}(wy) + 10^n(wz + xy) + xz$

---

$$T(2n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n) + 6n & \text{if } n > 1 \end{cases}$$

$$= O(n^2)$$

# Complexity

Multiplying two  $n$  digits integers



$$AB = (10^n w + x)(10^n y + z) = 10^{2n} \underbrace{(wy)}_{1 \text{ multiplication}} + 10^n \underbrace{(wz + xy)}_{2 \text{ multiplications}} + \underbrace{xz}_{1 \text{ multiplication}}$$

$$\underline{wz + xy} = (w + x)(y + z) - wx - yz = wx + \underline{wz + xy} + xz - wy - xz$$

---

## Algorithm Karatsuba Multiplication

---

```
function KARTASUBA(x, y, 2n)    ▷ n = 2k
  if n = 1 then return A * B
  else
    A = 10nw + x, B = 10ny + z
    wy ← KARTASUBA(w, y, n)
    xz ← KARTASUBA(x, z, n)
    mid ← KARTASUBA(w + x, y + z, n)
    return 102n(wy) + 10n(mid - wy - xz) + xz
```

---

$$T(2n) = \begin{cases} 1 & \text{if } n = 1 \\ 3T(n) + 6n & \text{if } n > 1 \end{cases}$$
$$= O(n^{1.58})$$

# Complexity

Multiplying two  $n$  digits integers



- Repeated Addition (adding  $x$  to itself  $y$  times) ▷  $O(n10^n)$
- Long Multiplication ▷  $O(n^2)$ 
  - Kolmogorov (1960) conjecture: grade-school algorithm is the best possible
- Karatsuba's Algorithm (1960) ▷  $O(n^{1.58})$
- Harvey & van der Hoeven (2019) ▷  $O(n \log n)$
- Can we do better? ▷ Not known either way

## Factorizing an $n$ digits integer



▷  $p$  and  $q$  large primes ( $\sim n/2$ -digits)  $\implies x = pq$  is  $n$ -digits long

## Factorizing $x$ into $p$ and $q$ is very hard

▷ Inverse of multiplication

- 1 Try all factors from 3 to  $x$  ▷  $O(10^n)$
  - 2 Try all factors from 3 to  $\sqrt{x}$  ▷  $O(10^{n/2})$
  - 3 Use number field sieve ▷  $O(10^{n/3})$
- ▷ This is essentially the best known method

No “efficient algorithm” to find  $p$  and  $q$  from  $x$  ▷ widely believed

Indeed no “efficient algorithm” to check any non-trivial property of  $p$  or  $q$   
e.g. Is one of  $p$  and  $q$  have last digit 3?

Alice and Bob each want to win a coin flip over phone



- Ok, Heads!

- I will flip, you call it



- Sorry, it was tails

Alice and Bob each want to win a coin flip over phone




No efficient algorithm to check if  $p$  or  $q$  has last digit = 3 from  $x(= pq)$



To call heads, choose  $p$  and  $q$ , so none ends with 3  
To call tails, choose  $p$  and  $q$ , so at least one ends with 3

- Sends  $x (= p * q)$
- Sends  $p$  and  $q$



- I will flip 
- Sends outcome of flip
- Checks if  $x = p * q$

Can Bob cheat?    ▷ Can he guess last digit of  $p$  and  $q$ ?

Can Alice cheat?    ▷ Can she find  $x = p' * q'$ ?

What if Alice choose  $p, q, r$  with  $r$  ending with 3 and reveals  $p$  and  $qr$  or  $pq$  and  $r$ ?  
▷ Primality testing is efficient