

## Asymptotic Analysis

- Runtime Analysis
- Big Oh -  $O(\cdot)$
- Complexity Classes and Curse of Exponential Time
- $\Omega(\cdot)$ ,  $\Theta(\cdot)$ ,  $o(\cdot)$ ,  $\omega(\cdot)$  - Relational properties

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# Asymptotic Notation

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- We use asymptotic analysis of functions for running time
- Characterize running time for all inputs instances of a certain size (so worst-case) with just one runtime function
- Small inputs are not much of a problem, we want to learn behavior of an algorithm on large inputs

# Asymptotic Notation

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Our foremost goals in analysis of algorithms are to

Determine running time of algorithms on inputs of **large size**

Determine how the runtime **grows with increasing inputs**

How the runtime changes when input size is doubled/tripled?

## Definition (Big Oh)

A function  $g(n) \in O(f(n))$  if there exists constants  $c > 0$  and  $n_0 \geq 0$  such that

$$g(n) \leq c \cdot f(n) \quad \forall n \geq n_0$$

- $O(f(n))$  is a set of functions. We abuse the notation and say  $g(n) = O(f(n))$
- A notion of  $a \leq b$  for functions as for real numbers
- $f(n)$  is an asymptotic upper bound on  $g(n)$
- Provides the right framework for both our goals

# Asymptotic Analysis

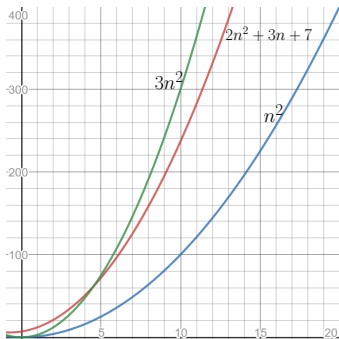
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$$2n^2 + 3n + 7 = O(n^2)$$

▷  $c = 3$  and  $n_0 = 5$



# Asymptotic Analysis

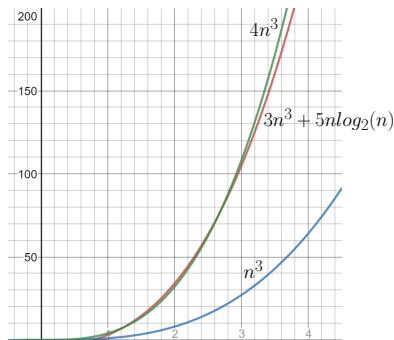
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$$3n^3 + 5n \log n = O(n^3)$$

$$\triangleright c = 4 \text{ and } n_0 = 3$$



## Common Rules

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The following two rules help simplify finding asymptotic upper bounds

- Lower order terms are ignored
  - $n^a$  dominates  $n^b$  if  $a > b$
- Multiplicative constants are omitted
  - e.g.  $7n^4 + 3n^3 + 10 = O(n^4)$
  - e.g.  $3n^3 + 5n \log n = O(n^3)$

## Common Rules

### Definition (Big Oh)

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$$\begin{aligned} f(n) &= pn^2 + qn + r \\ &\leq |p|n^2 + |q|n^2 + |r|n^2 \\ &= (|p| + |q| + |r|)n^2 \end{aligned}$$

This is true for all  $n \geq 1$ , hence with  $c = (|p| + |q| + |r|)$  we get that  $f(n) = O(n^2)$



## Justification to ignore lower order terms

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Let the runtime of algorithm  $\mathcal{A}$  be  $T(n) := n^2 + 10n$

$$T(n) = O(n^2)$$

Consider an input size of  $10^9$ , then

$$n^2 + 100n = 10^{18} + 10^{11} \quad \text{and} \quad n^2 = 10^{18}$$

$$\text{fractional error} = \frac{10^{11}}{10^{18}} = 10^{-7}$$

Determine running time of algorithms on inputs of **large size**

For  $n = 10^9$ ,  $T(n) = n^2 + 10n$  is only 0.00001% more than  $n^2$

## Justification to ignore Coefficients

Coefficients do not really affect growth of functions

Determine how the runtime **grows with increasing inputs**

Linear  $f(n) = 5n$

$$n : 5n$$

$$2n : 2(5n)$$

$$3n : 3(5n)$$

$$4n : 4(5n)$$

Quadratic  $f(n) = 7n^2$

$$n : 7n^2$$

$$2n : 4(7n^2)$$

$$3n : 9(7n^2)$$

$$4n : 16(7n^2)$$

Cubic  $f(n) = 2n^3$

$$n : 2n^3$$

$$2n : 8(2n^3)$$

$$3n : 27(2n^3)$$

$$4n : 64(2n^3)$$

Since we are concerned with scalability of algorithm, the same growth factor is observed if we consider  $f(n) = n$ ,  $f(n) = n^2$ , and  $f(n) = n^3$

## Non tightness of Big Oh

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- Note that  $O(\cdot)$  expresses only an upper bound, not the exact growth rate of the function
- For example,  $f(n) = 3n^2 + 4n + 5 = O(n^2)$  it is also  $O(n^3)$
- Indeed,  $f(n) \leq 12n^2$  and also  $f(n) \leq 12n^3$

## Big Oh: Finding the right constants

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- Let  $g(n) = 7n + 4$  and  $f(n) = n$

$$g(n) = O(f(n))$$

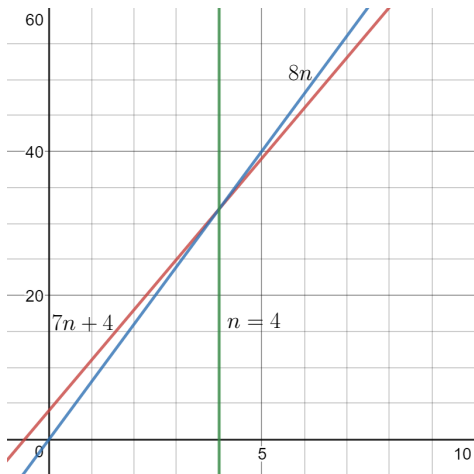
- take  $c = 8$  and  $n_0 = 4$ , see that  $7n + 4 \leq 8(n)$  whenever  $n_0 \geq 4$ ,  
 $7n + 4 \in O(n)$

## Big Oh: Finding the right constants

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- We want  $7n + 4 \leq cn$ ,
- Solving the inequality for  $c$ , we get  $c \geq \frac{7n}{n} + \frac{4}{n}$
- For  $n \geq 4$ ,  $8 \geq 7 + \frac{4}{n}$
- One can also see from the fact that  $\lim_{n \rightarrow \infty} \frac{7n+4}{n} \rightarrow 7$ , but this ( $c = 7$ ) would require  $n_0$  to be approaching  $\infty$ , so we take  $c = 8$
- Now how to get  $n_0$ ?
- We want  $7n + 4 \leq 8n$ , this is true whenever  $n \geq 4$

## Big Oh: Finding the right constants



## Big Oh: Finding the right constants

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Let  $f(n) = 6n + 24$  and  $h(n) = n^2$ ,

$$f(n) = O(h(n))$$

As  $\lim_{n \rightarrow \infty} \frac{6n + 24}{n^2} \rightarrow 0$ , so any  $c > 0$  will work.

for  $c = 1$ , we want

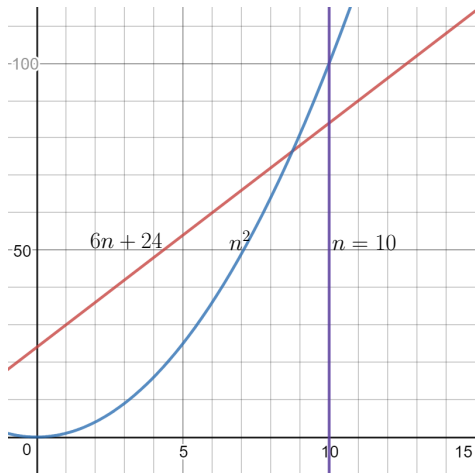
$$6n + 24 \leq 1 \cdot n^2$$

which is true whenever  $n \geq 10$

So we choose  $c = 1$  and  $n_0 = 10$

More examples in lecture notes and problem set

## Big Oh: Finding the right constants



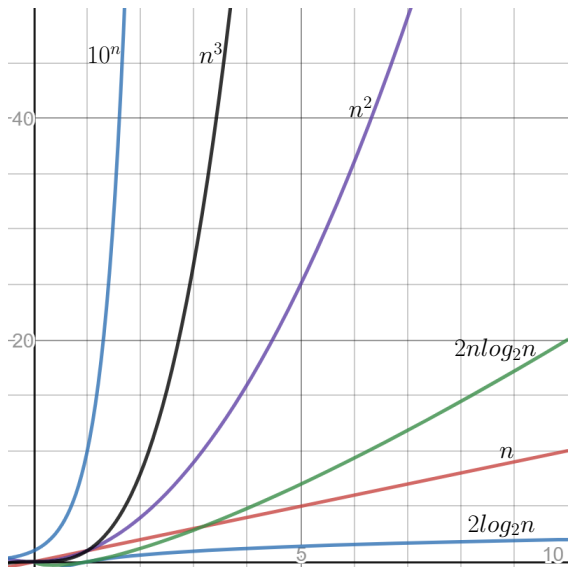


# Asymptotic-Complexity Classes

Class Name	Class Symbol	Example
Constant	$O(1)$	Comparison of two integers
Logarithmic	$O(\log(n))$	Binary Search, Exponentiation
Linear	$O(n)$	Linear Search
Log-Linear	$O(n \log(n))$	Merge Sort
Quadratic	$O(n^2)$	Integer multiplications
Cubic	$O(n^3)$	Matrix multiplication
Polynomial	$O(n^a), a \in \mathbb{R}$	
Exponential	$O(a^n), a \in \mathbb{R}$	Print all subsets
Factorial	$O(n!)$	Print all permutations

$$n! \gg 2^n \gg n^3 \gg n^2 \gg n \log n \gg n \gg \log n \gg 1$$

# Growth Rates of Functions



## Big Oh: Why does it make sense?

**Table:** Runtimes of algorithms of different complexity levels for input size  $n$  (on 1GHz PC). Assume that each operation takes 1  $ns$

<b>n</b>	$O(\log n)$	$O(n)$	$O(n \log n)$	$O(n^2)$	$O(2^n)$	$O(n!)$
10	0.003 $\mu s$	0.01 $\mu s$	0.033 $\mu s$	0.1 $\mu s$	1 $\mu s$	3.63ms
20	0.004 $\mu s$	0.02 $\mu s$	0.086 $\mu s$	0.4 $\mu s$	1ms	77.1 yrs
30	0.005 $\mu s$	0.03 $\mu s$	0.147 $\mu s$	0.9 $\mu s$	1sec	$8 \cdot 10^{15}$ yrs
40	0.005 $\mu s$	0.04 $\mu s$	0.213 $\mu s$	1.6 $\mu s$	18.3min	very long
50	0.006 $\mu s$	0.05 $\mu s$	0.282 $\mu s$	2.5 $\mu s$	13 days	very long
100	0.007 $\mu s$	0.10 $\mu s$	0.644 $\mu s$	10 $\mu s$	$4 \cdot 10^{13}$ yrs	very long
$10^3$	0.010 $\mu s$	1.00 $\mu s$	9.966 $\mu s$	1ms	very long	very long
$10^4$	0.013 $\mu s$	10 $\mu s$	130 $\mu s$	100ms	very long	very long
$10^5$	0.017 $\mu s$	0.10ms	1.67ms	10sec	very long	very long
$10^6$	0.020 $\mu s$	1ms	19.93ms	16.7min	very long	very long
$10^7$	0.023 $\mu s$	0.01sec	0.23sec	1.16 days	very long	very long
$10^8$	0.027 $\mu s$	0.10sec	2.66sec	115.7 days	very long	very long
$10^9$	0.030 $\mu s$	1sec	29.90sec	31.7 yrs	very long	very long