

## Huffman Code

- Data Compression
  - Lossy and Lossless Compression
  - Adaptive and non-Adaptive Compression
  - Fixed and Variable length Codes
- Prefix Free Codes
  - Binary Tree Representation
  - Goodness Measure
- Generic Greedy Algorithm
- Huffman Code
- Optimality and Implementation

## Fixed Length Binary Code

- Fixed num of bits for each symbol ▷ e.g. ASCII and Unicode

## Variable Length Binary Code

- Variable num of bits for each symbol ▷ uses fewer bits for frequent symbols

## Prefix free code

- no code is a prefix of another

If a code is prefix free, then it is uniquely decodable

## Prefix free code as Binary Tree

- Prefix free code can be represented by a rooted binary tree
- Leaves are labeled with characters and edges with bits
- The bits along the path from root a leaf is code of the symbol

## Compression from Codes (Binary Trees)

- Alphabet  $\Sigma = \{a_1, \dots, a_n\}$
- A document  $D \in \mathcal{D}$
- $f$ : frequency distribution,  $f(a_i)$ : freq. of  $a_i$  in  $D$
- $C$ : a compression scheme with code given as a tree
- $B_C(D) = B(D)$ : number of bits to encode  $D$  with  $C$
- $C(a_i)$ : the code for  $a_i$  and  $len(C(a_i))$ : is its length
- $L(a_i) = len(C(a_i)) =$  length of root to leaf ( $a_i$ ) path,  $depth(a_i)$

Total number of bits needed to encode the document  $D$  is

$$B(D) = \sum_{a_i \in \Sigma} f(a_i)L(a_i) = \sum_{i=1}^n f(a_i) \cdot [\text{depth of } a_i \text{ in } T]$$

# Problem Formulation

---

**Input:** Given an alphabet  $\Sigma$  and a frequency distribution  $f : \Sigma \rightarrow \mathbb{Z}$

**Output:** A prefix free code  $C$  with minimum  $\sum_{i=1}^n f(a_i) \cdot [\text{depth of } a_i \text{ in } T]$ , where  $T$  is the tree representation of  $C$

Equivalently

**Input:** A document  $D$

**Output:** A prefix free code  $C$  with minimum  $B(D)$

Equivalence follows from the fact that  $\Sigma$  and  $f$  can be computed with a single scan of  $D$

# Greedy Algorithm

---

## Algorithm Generic Algorithm ( $\mathcal{D}$ )

---

Make every symbol  $a_i$  a tree  $T_{a_i}$

**for**  $i = 1$  to  $n - 1$  **do**

    Select two tree  $T_x$  and  $T_y$

    MERGE( $T_x, T_y$ )

▷ Make them left/right child of a new node

**return** the only remaining tree  $T$

---

Clearly constructs a prefix free code

▷ Symbols always and only remain at leaves

Which two subtrees to merge?

# Huffman Coding

---

- Have to take into account the frequency distribution
  - Merging two trees increases code lengths of leaves therein by one
  - Code length of a symbol is the number of merges its tree undergoes
  - **Would like frequent symbols go through few merges**
- 1 Huffman Coding (greedily) chooses two symbols  $x$  and  $y$  with lowest frequencies (min and second min)
  - 2 Inserts a new **meta-symbol**  $z$  for the merged tree
  - 3 Delete  $x$  and  $y$  and their frequencies
  - 4  $f(z) \leftarrow f(x) + f(y)$
  - 5 Repeat on the reduced set of symbols

# Huffman Coding

---

$\mathcal{S}$  is input symbols with associated frequencies

▷  $\mathcal{S}$  can be readily populated from input  $\mathcal{D}$

---

## Algorithm Huffman-Tree ( $\mathcal{S}$ )

---

**for**  $x \in \mathcal{S}$  **do**

▷  $x$  is both symbol and pointer

MAKE-NODE( $x$ )

**for**  $i = 1$  to  $n - 1$  **do**

$x \leftarrow \text{FINDMIN}(\mathcal{S})$

▷ find the symbol with minimum freq.

$\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$

$y \leftarrow \text{FINDMIN}(\mathcal{S})$

$\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$

MAKENODE( $z$ )

$z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$

$\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$

**return** the only node in  $\mathcal{S}$

---

# Huffman Coding

---

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

$a : 45$

$b : 20$

$c : 10$

$f : 5$

$e : 6$

$d : 17$

$g : 18$

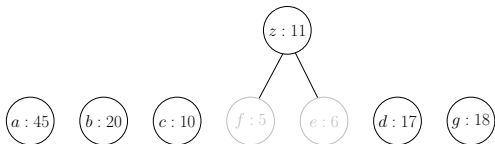


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

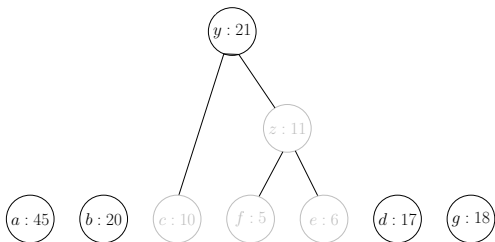


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

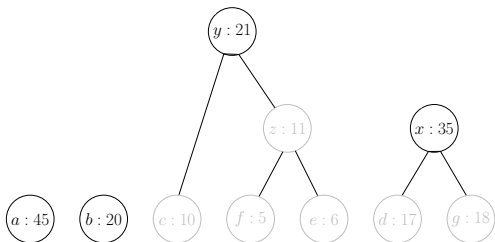


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

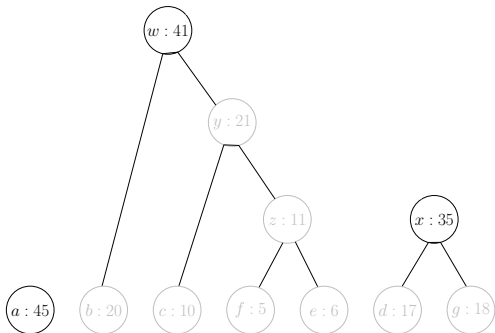


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

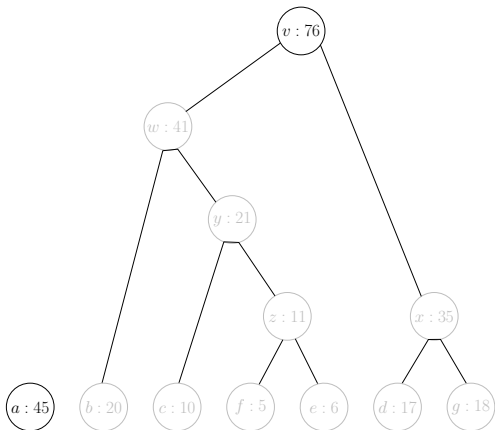


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

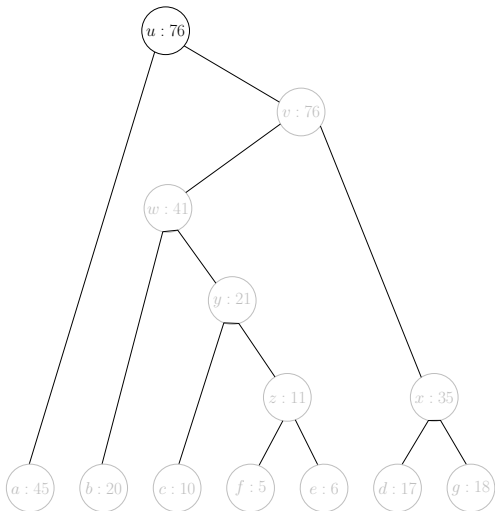


# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---



# Huffman Coding

---

```
for  $x \in \mathcal{S}$  do
  MAKENODE( $x$ )  ▷  $x$  is both
  symbol and pointer
for  $i = 1$  to  $n - 1$  do
   $x \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{x\}$ 
   $y \leftarrow \text{FINDMIN}(\mathcal{S})$ 
   $\mathcal{S} \leftarrow \mathcal{S} \setminus \{y\}$ 
  MAKENODE( $z$ )
   $z \cdot \text{freq} \leftarrow x \cdot \text{freq} + y \cdot \text{freq}$ 
   $\mathcal{S} \leftarrow \mathcal{S} \cup \{z\}$ 
return the only node in  $\mathcal{S}$ 
```

---

