## Algorithms

## Minimum Spanning Tree

- Minimum Spanning Tree
- Prim's Algorithm for MST
- Cuts in Graphs
- Correctness and Optimality of Prim's Algorithm
- Runtime
- Basic Implementation

■ Vertex-Centric Implementation
■ Heap Based Implementation

Imdad ullah Khan

## Cuts in Graphs

■ Cuts in graphs are useful structures, helps analyzing MST algorithm
■ We will discuss it in network flows, complexity, randomized algorithms

## A cut in $G$ is a subset $S \subset V$

- Denoted as $[S, \bar{S}]$
$\triangleright S=\emptyset$ and $S=V$ are trivial cuts, we assume that $\emptyset \neq S \neq V$
- A graph on $n$ vertices has $2^{n}$ cuts
- An edge $(u, v)$ is crossing the cut $[S, \bar{S}], \quad$ if $u \in S$ and $v \in \bar{S}$



## Empty Cut Lemma

A graph $G$ is disconnected iff it has a cut with no crossing edge (empty cut)

## Proof: if part

- Let $[S, \bar{S}]$ be an empty cut

■ Let $u \in S$ and $v \in \bar{S}$
■ No crossing edge implies no path between $u$ and $v$


## Empty Cut Lemma

A graph $G$ is disconnected iff it has a cut with no crossing edge (empty cut)

Proof: only if part
■ Let $u$ and $v$ be disconnected

- Let $S=R(u)$ (vertices reachable from $u$ )
$\triangleright S$ is the connected component containing $u$
- No edge crosses the cut $[S, \bar{S}]$
- Otherwise the endpoint of crossing edge must be in $S$



## Double and Lonely Crossing Lemma

If a cycle crosses a cut, then it has to cross at least twice
A edge-subset (subgraph) crossing a cut means there is an edge crossing the cut

- A cycle starting in $S$ once reaches $\bar{S}$ must have another edge to come back to $S$
- Actually any cycle must cross a cut an even number times


If $e$ is the only edge crossing a cut $[S, \bar{S}]$, then it is not in any cycle

## The cut property (Blue Rule)

If an edge $e \in E$ is the lightest edge crossing some cut $[S, \bar{S}]$, then $e$ belongs to the MST of $G$

This statement assume edge weights are unique. More generally,

If an edge $e \in E$ is a lightest edge crossing some cut $[S, \bar{S}]$, then $e$ belongs to some MST of $G$

## Proof of the cut property (blue rule)

If an edge $e \in E$ is the lightest edge crossing some cut $[S, \bar{S}]$, then $e$ belongs to the MST of $G$

## Proof by contradiction:

■ Let $T^{*}$ be the "MST" of $G$

- Let $e$ be lightest edge across a cut $[S, \bar{S}]$
- Suppose e $\notin T^{*}$
- There must some edge $f \in T^{*}$ across $[S, \bar{S}]$
- $\because$ otherwise $T^{*}$ is not connected, hence not a tree

■ Exchange e with $f \in T^{*}$ to get $T^{\prime}$
■ $w\left(T^{\prime}\right) \leq w\left(T^{*}\right) \quad$ as $\quad w(e)<w(f)$

- Is $T^{\prime}$ a spanning tree?


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■ Exchange $e$ with $f \in T^{*}$ to get $T^{\prime}, w\left(T^{\prime}\right) \leq w\left(T^{*}\right) \quad$ as $\quad w(e)<w(f)$

- Is $T^{\prime}$ a spanning tree?



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■ Suppose $e \notin T^{*} \Longrightarrow$ there must some edge $f \in T^{*}$ across $[S, \bar{S}]$

- Exchange $e$ with $f \in T^{*}$ to get $T^{\prime}, w\left(T^{\prime}\right) \leq w\left(T^{*}\right)$ as $w(e)<w(f)$
- Replacing an arbitrary heavier crossing edge by e does not work



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- Exchange $e$ with $f \in T^{*}$ to get $T^{\prime}, w\left(T^{\prime}\right) \leq w\left(T^{*}\right)$ as $w(e)<w(f)$
- Replacing an arbitrary heavier crossing edge by e does not work
- Which edge should e replace?



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If an edge $e \in E$ is the lightest edge crossing some cut $[S, \bar{S}]$, then $e$ belongs to the MST of $G$

## Proof by contradiction:

- Let $e$ be lightest edge across a cut $[S, \bar{S}]$
- Suppose e $\notin T^{*}$, the MST of $G$
- Add $e$ to $T^{*}$
- It must create a cycle
- The cycle must cross the cut at least twice
$\triangleright$ (a tree is maximally acyclic)
$\triangleright$ (double crossing lemma)
- Let $e^{\prime}$ be another crossing edge on that cycle
- $T^{\prime}=T^{*} \backslash\left\{e^{\prime}\right\} \cup\{e\}$ and $w\left(T^{\prime}\right)<w\left(T^{*}\right)$


