

Algorithmic Thinking and Terminology

- Problem Formulation
- Algorithm Design Strategy: Implementing the Definition
- Algorithms Runtime Analysis
- Basic Numbers and Vectors Arithmetic

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Parity Test: Odd/Even integer

Input: An integer A

Output: True if A is even, else False

```
if  $A \bmod 2 = 0$  then  
    return true
```

Pseudocode

- A plain English description of “steps” of algorithm
- Use structural conventions like C/JAVA
- Focus on solution rather than technicalities of programming language

Parity Test: Odd/Even integer

Input: An integer A

Output: True if A is even, else False

```
if  $A \bmod 2 = 0$  then  
    return true
```

Issues:

- The above algorithm only works if A is given in an **int**
- What if A doesn't fit an **int** and A 's digits are given in an array?
- What if A is given in binary/unary/...?

▷ These issues are in addition to usual checks of valid input

Parity Test: Odd/Even integer

Input: An integer A

Output: True if A is even, else False

If 'digits' of A digits are given in an array

$$A =$$

| | | | | | | |
|---|---|---|---|---|---|---|
| 6 | 5 | 4 | 3 | 2 | 1 | 0 |
| 4 | 6 | 9 | 2 | 7 | 5 | 8 |

if $A[0] \bmod 2 = 0$ **then**
return true

Questions computer scientists would (must) ask?

What is the problem?

- What is input/output?, what is the "format"?
- What are the "boundary cases", "easy cases", "bruteforce solution"?
- What are the available "tools"?

Do not jump to solution, spend time on problem formulation

Formulating the problem with precise definitions often yield a solution

- ▷ e.g. both the above algorithms just use definitions of even numbers

This is *implementing the definition* algorithm design paradigm

- ▷ The bruteforce solution

What is the dumbest/obvious/laziest way to solve the problem? What is the easiest cases? what are the hardest cases? where is the hardness?

Questions computer scientists would (must) ask?

Input: An integer A

Output: True if A is even, else False

If digits of A are given in an array

$$A = \begin{array}{ccccccc} 6 & 5 & 4 & 3 & 2 & 1 & 0 \\ \hline 4 & 6 & 9 & 2 & 7 & 5 & 8 \end{array}$$

What if mod is not available?

Just check if $A[0] \in \{0, 2, 4, 6, 8\}$

```
if A[0] mod 2 = 0 then
  return true
```

▷ What are the tools available?

```
if A[0] = 0 then
  return true
else if A[0] = 2 then
  return true
  ⋮
else
  return false
```

Questions computer scientists would (must) ask?

Is the algorithm “correct”?

- Does it do what it is “*supposed*” to do? ▷ requirement specification
- Does it always “*produce*” the “*correct output*”?
- Does it work for all “*legal inputs*”?

An extremely important step! Without a convincing argument for correction, we cannot call it an algorithm or solution

▷ Relies heavily on the problem formulation

Parity Test: Odd/Even integer

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Output: True if A is even, else False

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if  $A \bmod 2 = 0$  then  
    return true
```

```
if  $A[0] \bmod 2 = 0$   
then  
    return true
```

```
if  $A[0] = 0$  then  
    return true  
else if  $A[0] = 2$  then  
    return true  
     $\vdots$   
else  
    return false
```

Correctness of these 3 algorithms follows from definition of even/odd and/or mod, depending on how we formulate the problem

Questions computer scientists would (must) ask?

How much “resources” does the algorithm consume?

Analysis of Algorithms: the theoretical study of performance and resource utilization of algorithms

How to measure the “goodness” of an algorithms?

- Time consumption
- Space and memory consumption
- Bandwidth consumption or number of messages passed
- Energy consumption
- ⋮

How to measure runtime?

Clock-time of algorithm execution is not a suitable measure

- Depends on machine/hardware, operating systems, other concurrent programs, implementation language and style etc.
- We want platform and implementation language independent

Number of operations is the right framework

- Measure runtime in terms of number of elementary operations
- Assuming each elementary operation takes fixed computation time
- Important to decide which operations are counted as elementary

if $A \bmod 2 = 0$ **then** Number of operations: 1 mod and 1 comparison
return true

Runtime as a function of input size

We want a consistent mechanism to measure efficiency that is platform and implementation language independent

Number of elementary operations depends on the actual input

Measure runtime by number of operations as a function of size of input

- ▷ Has predictive value with respect to increasing input sizes

Size of input: usually number of bits needed to encode the input instance, can be length of an array, number of nodes in a graph etc.

Issue: For inputs of fixed size (n) there could be different runtimes depending on different instances

Parity Test: Odd/Even integer

Input: An integer A

Output: True if A is even, else False

If digits of A are given in an array

$$A =$$

| | | | | | | |
|---|---|---|---|---|---|---|
| 6 | 5 | 4 | 3 | 2 | 1 | 0 |
| 4 | 6 | 9 | 2 | 7 | 5 | 8 |

If mod is not available

Just check if $A[0] \in \{0, 2, 4, 6, 8\}$

```
if A[0] = 0 then
    return true
else if A[0] = 2 then
    return true
    :
else
    return false
```

What is the number of comparisons when $A[0] = 0$ and when $A[0] = 8$?

Best/Worst/Average Case

Issue: For inputs of fixed size (n) there could be different runtimes depending on different instances

Let $T(I)$ be the time, algorithm takes on instance I

Best case runtime: $t_{best}(n) = \text{MIN}_{I:|I|=n} \{ T(I) \}$

Worst case runtime: $t_{worst}(n) = \text{MAX}_{I:|I|=n} \{ T(I) \}$

Average case runtime: $t_{av}(n) = \text{AVERAGE}_{I:|I|=n} \{ T(I) \}$

In general, we consider the worst case runtime

Adding two n digits integers

Input: Two n digits numbers A and B

Output: $A + B$

For “*small*” A and B

1: $C \leftarrow A + B$

- The algorithm is correct by definition of $+$ operator
- Runtime is one **integer addition**
- Can't really do better than that ...

Adding two n digits integers

Input: Two n digits numbers A and B (n -digits arrays)

Output: $A + B$ ($n + 1$ -digit array)

$$A = \begin{array}{cccccc} 6 & 5 & 4 & 3 & 2 & 1 & 0 \\ \hline 4 & 6 & 9 & 2 & 7 & 5 & 8 \end{array}$$

$$B = \begin{array}{cccccc} 6 & 5 & 4 & 3 & 2 & 1 & 0 \\ \hline 5 & 1 & 7 & 2 & 2 & 6 & 1 \end{array}$$

$$\begin{array}{cccccc} & & & & 1 & 1 & 1 \\ & & & & 4 & 6 & 9 & 2 & 7 & 5 & 8 \\ + & & & & 5 & 1 & 7 & 2 & 2 & 6 & 1 \\ \hline & & & & 9 & 8 & 6 & 5 & 0 & 1 & 9 \end{array}$$

1: $c \leftarrow 0$

2: **for** $i = 0$ to $n - 1$ **do**

3: $S[i] \leftarrow (A[i] + B[i] + c) \bmod 10$

4: $c \leftarrow (A[i] + B[i] + c) / 10$

5: $S[n] \leftarrow c$

■ Correct?

■ Runtime?

Adding two n digits integers

Input: Two n digits numbers A and B (n -digits arrays)

Output: $A + B$ ($n + 1$ -digit array)

1: $c \leftarrow 0$

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5: $S[n] \leftarrow c$

} 1 time

} n times

} 1 time

$6n$ single digit arithmetic operations

Multiplying two n digits integers

Input: Two n digits numbers A and B (n -digits arrays)

Output: $A \times B$ ($2n + 1$ -digit array)

```
1: for  $i = 1$  to  $n$  do
2:    $c \leftarrow 0$ 
3:   for  $j = 1$  to  $n$  do
4:      $Z[i][j + i - 1] \leftarrow (A[j] * B[i] + c) \bmod 10$ 
5:      $c \leftarrow (A[j] * B[i] + c) / 10$ 
6:    $Z[i][i + n] \leftarrow c$ 
7:  $carry \leftarrow 0$ 
8: for  $i = 1$  to  $2n$  do
9:    $sum \leftarrow carry$ 
10:  for  $j = 1$  to  $n$  do
11:     $sum \leftarrow sum + Z[j][i]$ 
12:   $C[i] \leftarrow sum \bmod 10$ 
13:   $carry \leftarrow sum / 10$ 
14:  $C[2n + 1] \leftarrow carry$ 
```

$$\begin{array}{r} \times \quad 7 \ 5 \ 8 \\ \quad \quad 6 \ 3 \ 2 \\ \hline \quad \quad 1 \ 5 \ 1 \ 6 \\ \quad 2 \ 2 \ 7 \ 4 \\ \hline 4 \ 5 \ 4 \ 8 \\ \hline 4 \ 7 \ 9 \ 0 \ 5 \ 6 \end{array}$$

Ops: $8n^2 + 2n$
arithmetic ops.

Multiplying two n digits integers

Input: Two n digits numbers A and B (n -digits arrays)

Output: (integer) $C = A \times B$

Reformulate and apply distributive and associative laws

$$(A[0] * 10^0 + A[1] * 10^1 + A[2] * 10^2 + \dots) \times (B[0] * 10^0 + B[1] * 10^1 + B[2] * 10^2 + \dots)$$

1: $C \leftarrow 0$

2: **for** $i = 1$ to n **do**

3: **for** $j = 1$ to n **do**

4: $C \leftarrow C + 10^{i+j} \times A[i] * B[j]$

$$\begin{array}{r} \\ \\ \\ \\ \times \\ \\ \hline \\ \\ \\ \\ \\ \\ \hline 4 \\ 7 \\ 9 \\ 0 \\ 5 \\ 6 \end{array}$$

Ops: n^2 single digit multiplications + shifting (multiplying by 10^x)

Exponentiation

Input: Two integers, a and $n \geq 0$

Output: a^n

Problem Formulation

$$a^n = \underbrace{a \times a \times \dots \times a}_{n \text{ times}}$$

$x \leftarrow 1$

for $i = 1$ to n **do**

$x \leftarrow x * a$

return x

- Correct by definition
- Takes n multiplications
 - ▷ integer multiplications

- Initializing x to a , saves one multiplication

▷ Careful! what if $n = 0$

Can we do better?

Exponentiation

Input: Two integers, a and $n \geq 0$

Output: a^n

Problem Formulation

$$a^n = \begin{cases} a * a^{n-1} & \text{if } n > 1 \\ a & \text{if } n = 1 \\ 1 & \text{if } n = 0 \end{cases}$$

```
function REC-EXP( $a, n$ )  
  if  $n = 0$  then return 1  
  else if  $n = 1$  then return  $a$   
  else  
    return  $a * \text{REC-EXP}(a, n - 1)$ 
```

- Correct by the above definition
- Number of operations?

▷ **Number of recursive calls** × **Number of operations per call**

Exponentiation

Input: Two integers, a and $n \geq 0$

Output: a^n

Problem Formulation

$$a^n = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n > 1 \text{ even} \\ a \cdot a^{n-1/2} \cdot a^{n-1/2} & \text{if } n \text{ is odd} \\ 1 & \text{if } n = 0 \end{cases}$$

```
function REP-SQ-EXP( $a, n$ )  
  if  $n = 0$  then return 1  
  else if  $n > 0$  AND  $n$  is even then  
     $z \leftarrow$  REP-SQ-EXP( $a, n/2$ )  
    return  $z * z$   
  else  
     $z \leftarrow$  REP-SQ-EXP( $a, n-1/2$ )  
    return  $a * z * z$ 
```

- Correctness
- Number of calls?
- operations per call?

Give a non-recursive implementation of repeated squaring based exponentiation. You can also use the binary expansion of n

Dot Product of two vectors

Input: Two n -dimensional vectors as arrays A and B

Output: $A \cdot B := \langle A, B \rangle := A[1]B[1] + \dots + A[n]B[n] := \sum_{i=1}^n A[i]B[i]$

$$\begin{array}{c} \mathbf{A} \\ \left[\begin{array}{c} a_1 \\ a_2 \\ \vdots \\ a_n \end{array} \right] \end{array} \cdot \begin{array}{c} \mathbf{B} \\ \left[\begin{array}{c} b_1 \\ b_2 \\ \vdots \\ b_n \end{array} \right] \end{array} = \sum_{i=1}^n a_i b_i$$

```
function DOT-PROD( $A, B$ )  
   $s \leftarrow 0$   
  for  $i = 1$  to  $n$  do  
     $s \leftarrow s + A[i] * B[i]$   
  return  $s$ 
```

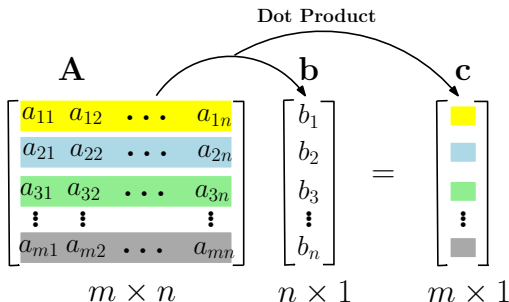
- **Correctness** follows from definition
- **Runtime** is n multiplications and $n - 1$ additions
 - ▷ integer/real additions and multiplications
- At least n “operations” are required for reading the input
 - ▷ Lower Bound

Matrix-Vector Multiplication

Input: Matrix A and vector b **Output:** $c = A * b$

- **Condition:** num columns of A = num rows of b

$$A_{m \times n} \times b_{n \times 1} = c_{m \times 1}$$



Matrix-Vector Multiplication

Input: Matrix A and vector b **Output:** $c = A * b$

```
function MAT-VECTPROD( $A, b$ )  
   $c[ ] [ ] \leftarrow \text{ZEROS}(m \times 1)$   
  for  $i = 1$  to  $m$  do  
     $c[i] \leftarrow \text{DOT-PROD}(A[i][:], b)$   
  return  $c$ 
```

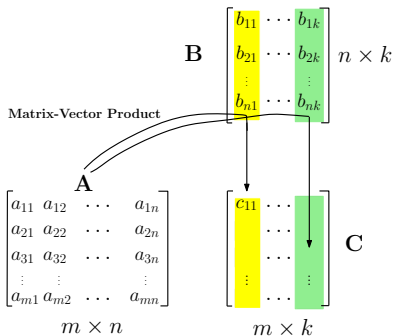
- **Correct** by definition
- **Runtime** is m dot-products of n -dim vectors
- Total runtime $m \times n$ real multiplications and additions

Matrix-Matrix Multiplication

Input: Matrices A and B **Output:** $C = A * B$

- **Condition:** num columns of A = num rows of B

$$A_{m \times n} \times B_{n \times k} = C_{m \times k}$$



Matrix-Matrix Multiplication

Input: Matrices A and B **Output:** $C = A * B$

- **Condition:** num columns of $A =$ num rows of B

$$A_{m \times n} \times B_{n \times k} = C_{m \times k}$$

function MAT-MATPROD(A, B)

$C[][] \leftarrow \text{ZEROS}(m \times k)$

for $j = 1$ to k **do**

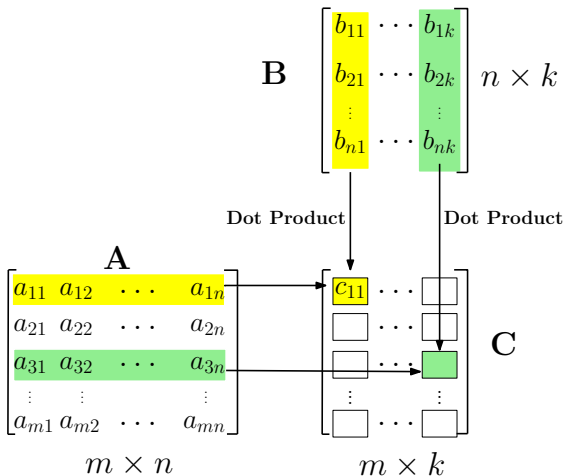
$C[:,j] \leftarrow \text{MAT-VECTPROD}(A, B[:,j])$

return C

- k Matrix-Vector products of $m \times n$ and $n \times 1$
- Total $k \times m \times n$ real multiplications and additions

Matrix-Matrix Multiplication: Dot Product

Input: Matrices A and B **Output:** $C = A * B$



Matrix-Matrix Multiplication: Dot Product

Input: Matrices A and B **Output:** $C = A * B$

- **Condition:** num columns of $A =$ num rows of B

$$A_{m \times n} \times B_{n \times k} = C_{m \times k}$$

function MAT-MATPROD(A, B)

$C[][] \leftarrow$ ZEROS($m \times k$)

for $i = 1$ to m **do**

for $j = 1$ to k **do**

$C[i][j] \leftarrow$ DOT-PROD($A[i][:]$, $B[:,j]$)

return C

- Performs $m \times k$ dot-products of n -dim vectors
- Total $m \times k \times n$ real multiplications and additions

Summary

- Problem formulation with precise definitions/notation is important
- Definition-based (and other strategies) critically depend on it
- Pseudocode is a good human-readable way to describe solution
- Correctness of an algorithm is argued in view of problem statement
- Runtime of an algorithm is the most basic measure of its goodness
- Runtime is measured by number of well-chosen elementary operations as a function of size of input
- We usually consider the worst case runtime for a fixed input size
- Discussed how an algorithm can be used as a subroutine in another
- Gave different algorithms (for exponentiation) with different runtime
- Always ask if a solution can be improved (usually in terms of runtime)
- Lower bound means no algorithm has runtime lower than the bound