# Cardinality

List Representation of Functions and Cardinality of Finite Sets

- Properties of Functions as Lists
- Cardinality of Infinite Sets
- Countable and Uncountable Infinite Sets
  - Diagonalization

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### Function

Let X and Y be two sets. A function f maps **each** element of X to **exactly one** element of Y

Let  $f: X \mapsto Y$  and let f(x) = y

- X is the domain of f
- Y is the codomain of f
- y is the image of x
- x is the pre-image of y
- **Range of** f: set of images of every  $x \in X$

Let X and Y be two sets. A function f maps **each** element of X to **exactly one** element of Y

Let X be the domain with its <u>elements ordered</u>  $x_1, x_2 \dots$ ,

 $f: X \mapsto Y$  can be represented as a list  $f(x_1), f(x_2), f(x_3), \ldots$ 

Images of  $x_1, x_2, \ldots$  listed in the order of X

### Function: List Representation







Xį	$f(\cdot)$
<i>x</i> <sub>1</sub>	С
<i>x</i> <sub>2</sub>	A
X3	В
X4	В
$X_5$	A

### Properties of functions as lists

A function  $f : X \mapsto Y$  is **one-to-one** (or **injective**) iff  $\forall x_1, x_2 \in X \quad (f(x_1) = f(x_2) \rightarrow x_1 = x_2)$ 

- Every element  $x \in X$  is mapped to a unique element of Y
- Images are unique

 $f: X \mapsto Y$  is **one-to-one** if there are no duplicates in the list

Every element of Y appears at most once

▷ No element of codomain is repeated

## Properties of functions as lists

**ICP 9-1** Suppose X and Y are two finite sets.

Let  $f : X \mapsto Y$  be a one-to-one function.

What can we conclude about the cardinalities of X and Y?

 $|X| \bigcirc |Y|$ 

A function  $f : X \mapsto Y$  is **onto** (or **surjective**) iff for every element  $y \in Y$  there is an element  $x \in X$  with f(x) = y

- Every element  $y \in Y$  is assigned to some element of  $x \in X$
- Every  $y \in Y$  is the image of at least one  $x \in X$

 $f: X \mapsto Y$  is **onto** if every element in Y appears in the list

Every element of Y appear in the list at least once

▷ No element of codomain is missing

## Properties of functions as lists

**ICP 9-2** Suppose X and Y are two finite sets.

Let  $f : X \mapsto Y$  be an onto function.

What can we conclude about the cardinalities of X and Y?

 $|X| \bigcirc |Y|$ 

A function  $f : X \mapsto Y$  is one-to-one correspondence (or bijective) iff it is both one-to-one and onto

 $f: X \mapsto Y$  is a bijection if every  $y \in Y$  appears exactly once



If  $f: X \mapsto Y$  is a bijection and X and Y are finite sets, then |X| = |Y|

Let  $f: X \mapsto Y$  be represented as list

 $f: X \mapsto Y$  is **one-to-one** if every  $y \in Y$  appears <u>at most once</u> in the list

 $f: X \mapsto Y$  is **onto** if every  $y \in Y$  appears <u>at least once</u> in the list

 $f: X \mapsto Y$  is **bijection** if every  $y \in Y$  appears exactly once in the list

If  $f: X \mapsto Y$  is a bijection and X and Y are finite sets, then |X| = |Y|

#### ICP 9-3

Given  $f : A \rightarrow B$ , and |B| > |A|. Explain why f cannot be a bijection.

#### ICP 9-4

Given  $f : A \rightarrow B$ , and |B| < |A|. Explain why f cannot be a bijection.

#### ICP 9-5

Given  $f : A \rightarrow B$ , and |B| = |A|. Does f have to be bijection?

## Cardinality of finite sets

- Cardinality of a finite set X is the number of distinct elements in X
- X and Y have the same cardinality, if |X| = |Y|
- If  $f: X \mapsto Y$  is a bijection and X and Y are finite sets, then |X| = |Y|

For finite sets X and Y, |X| = |Y| iff there is a bijection  $f : X \mapsto Y$ 

Proof of the if part

"if 
$$|X| = |Y|$$
, then there is a bijection"

Fix any ordering on X and Y

•  $f(x_i) = y_i$  is a bijection

## Cardinality of finite sets

For finite sets X and Y, |X| = |Y| iff there is a bijection  $f : X \mapsto Y$ 

$$X = \{apple, 20, banana\}$$
$$Y = \{a, b, c\}$$

 $X = \{1, 2, 3, 4, 5\}$  $Y = \{a, b, c, d, e\}$ 

apple	С
20	b
banana	а

1	а
2	b
3	С
4	d
5	е

### The power set

- Let A be a set such that |A| = n
- Impose any ordering on elements of A
- Represent subsets of A by n-bit strings (bit-vector representation)
- Each bit stands for whether the corresponding element is in the subset

**ICP 9-6** How many *n*-bits strings are there?

**ICP 9-7** How many subsets of the set *A* are there?

**ICP 9-8** Design a bijection between the set of n-bits strings and the power set of A.

## Cardinality of finite sets

For finite sets X and Y, |X| = |Y| iff there is a bijection  $f : X \mapsto Y$ 

 $X = \{1, 2, 3, 4, 5, 6\}$  $Y = \{a, b, c, d, e\}$ 

In any  $f: X \mapsto Y$ 

- some  $y \in Y$  must repeat
- *f* cannot be one-to-one
- No bijection between X and Y

• so  $|X| \neq |Y|$ 

 $X = \{1, 2, 3, 4, 5, 6\}$  $Y = \{a, b, c, d, e, f, g\}$ 

In any  $f: X \mapsto Y$ 

- some  $y \in Y$  must be missing
- f cannot be onto
- No bijection between X and Y
- so  $|X| \neq |Y|$